

REPUBLIC OF SOUTH AFRICA  
PATENTS ACT, 1978  
APPLICATION FOR A PATENT AND  
ACKNOWLEDGEMENT OF RECEIPT  
(Section 30(1) Regulation 22)

FORM P.1  
(to be lodged in duplicate)

719,045  
R 8,00 for Provisional  
R36,00 for Complete

AT

THE GRANT OF A PATENT IS HEREBY REQUESTED BY THE UNDERMENTIONED APPLICANT ON THE BASIS OF THE PRESENT APPLICATION FILED IN DUPLICATE.

PATENT APPLICATION NO.		
21	01	858794
71	FULL NAME(S) OF APPLICANT(S)	

A & A REF: 110652

AMERICAN CYANAMID COMPANY

ADDRESS(ES) OF APPLICANT(S)

One Cyanamid Plaza, Wayne, State of New Jersey 07470, USA

54	TITLE OF INVENTION
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ANTITUMOR ANTIBIOTICS (LL-E33288 COMPLEX)

Only the items marked with an "X" in the blocks below are applicable.

- ☒ THE APPLICANT CLAIMS PRIORITY AS SET OUT ON THE ACCOMPANYING FORM P.2  
☐ THE APPLICATION IS FOR A PATENT OF ADDITION TO PATENT APPLICATION NO. 21 01  
☐ THIS APPLICATION IS A FRESH APPLICATION IN TERMS OF SECTION 37 AND BASED ON APPLICATION NO.

21 01

THIS APPLICATION IS ACCOMPANIED BY:

- ☒ 1. A single copy of a provisional or two copies of a complete specification of ..... 58 pages  
☒ 2. Drawings of 18 ..... sheets. INFORMAL  
☒ 3. Publication particulars and abstract (Form P.8 in duplicate) (for complete only).  
☐ 4. A copy of Figure .... of the drawings (if any) for the abstract (for complete only).  
☐ 5. An assignment of invention.  
☒ 6. Certified priority document(s) (State quantity) : 1 .....  
☐ 7. Translation of the priority document(s).  
☐ 8. An assignment of priority rights.  
☐ 9. A copy of the Form P.2 and the specification of S.A. Patent Application No. 21 01  
☒ 10. A Form P.2 in duplicate.  
☒ 11. A declaration and power of attorney on Form P.3.  
☐ 12. Request for ante-dating on Form P.4.  
☐ 13. Request for classification on Form P.9.  
☐ 14. Request for delay of acceptance on Form P.4.  
☐ 15.

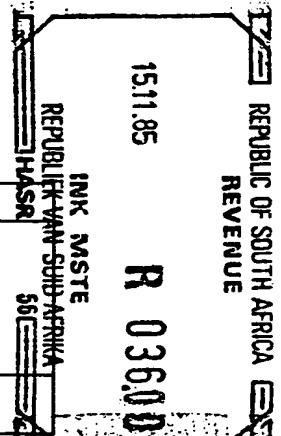
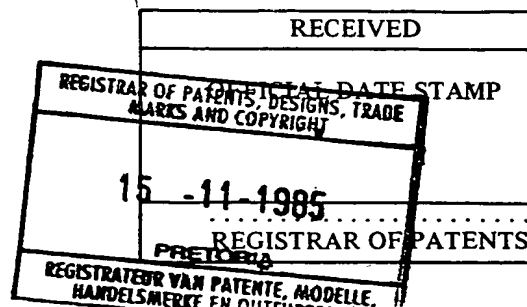
74 ADDRESS FOR SERVICE: Adams & Adams, Pretoria.

DATED THIS 15 DAY OF NOVEMBER 19 85

*G.R. Steyn*  
ADAMS & ADAMS

APPLICANTS PATENT ATTORNEYS

The duplicate will be returned to the applicant's address for service as proof of lodging but is not valid unless endorsed with official stamp.



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PATENT ATTORNEYS  
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PRETORIA

FORM P7

**REPUBLIC OF SOUTH AFRICA**  
Patents Act, 1978

**COMPLETE SPECIFICATION**

(Section 30 (1) — Regulation 28)

OFFICIAL APPLICATION NO.		
21	01	858794

LODGING DATE	
22	15 November 1985

INTERNATIONAL CLASSIFICATION	
51	A61K C07G C12P C12N C12R

FULL NAME(S) OF APPLICANT(S)	
71	

AMERICAN CYANAMID COMPANY

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MAY DEAN-MING LEE  
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DAVID PAUL LABEDA

TITLE OF INVENTION	
54	

ANTITUMOR ANTIBIOTICS (LL-E33288 COMPLEX)

Title: ANTITUMOR ANTIBIOTICS  
(LL-E33288 COMPLEX)

SUMMARY OF THE INVENTION

5 This application is a continuation-in-part of co-  
pending application S.N. 672,031, filed November 16, 1984.

This invention relates to new antibacterial and  
antitumor agents designated LL-E33288 $\alpha_1$ -Br, LL-E33288  $\alpha_1$ -I  
LL-E33288 $\alpha_2$ -Br, LL-E33288 $\alpha_2$ -I, LL-E33288 $\alpha_3$ -Br, LL-E33288 $\alpha_3$ -  
I, LL-E33288 $\alpha_4$ -Br, LL-E33288 $\beta_1$ -Br, LL-E33288 $\beta_1$ -I, LL-E33288  
10  $\beta_2$ -Br, LL-E33288 $\gamma$ -I, LL-E33288  $\gamma_1$ -Br, LL-E33288 $\gamma_1$ -I and LL-  
E33288 $\delta_1$ -I, to their production by fermentation, to methods  
for their recovery and concentration from crude solutions and  
to processes for their purification. The present invention  
includes within its scope the antibacterial and antitumor  
15 agents in dilute form, as crude concentrates, as a complex of  
various or all components, in pure form as individual compo-  
nents and novel strains of Micromonospora.

The LL-E33288 antibiotics of this invention are  
closely related compounds. The fourteen antibiotics are  
20 recovered from fermentation and are initially obtained as a  
mixture, hereinafter either the LL-E33888 complex, the the  
LL-E33288 Iodo-complex or the LL-E33288 Bromo-complex. In  
general, the iodine containing components of the LL-E33288  
antibiotics (e.g.,  $\alpha_1$ -I,  $\alpha_2$ -I,  $\alpha_3$ -I,  $\beta_1$ -I,  $\beta_2$ -I,  $\gamma_1$ -I and  $\delta_1$ -  
25 I) are found only in fermentations using media containing  
inorganic or organic iodide while the bromine containing  
components (e.g.,  $\alpha_1$ -Br,  $\alpha_2$ -Br,  $\alpha_3$ -Br,  $\alpha_4$ -Br,  $\beta_1$ -Br,  $\beta_2$ -Br  
and  $\gamma_1$ -Br) are found only in fermentations using media  
containing inorganic or organic bromide. The ratio of  
30 components in the LL-E3388 complex will vary, depending upon

the fermentation of both the bromine and the iodine containing antibiotics, LL-E33288 $\beta$ <sub>1</sub> and LL-E33288 $\gamma$ <sub>1</sub> are the major components, together accounting for approximately 90% of the complex. LL-E33288 $\alpha$ <sub>1</sub>, LL-E33288 $\alpha$ <sub>2</sub>, LL-E33288 $\alpha$ <sub>3</sub>, LL-E33288 $\alpha$ <sub>4</sub>-Br, LL-E33288 $\delta$ <sub>2</sub> and LL-E33288 $\delta$ <sub>1</sub>-I are minor components, together accounting for approximately 10% of the complex.

The LL-E33288 antibiotics are active against gram-positive and gram-negative bacteria. Each of the components were also found to be active in a modification of the Biochemical Induction Assay [Elespuru, R. and Yarmolinsky, M., Environmental Mutagenesis, 1, 65-78 1979)], a test which specifically measures the ability of an agent to directly or indirectly initiate DNA damage. In this assay, both LL-E33288 $\beta$ <sub>1</sub>-Br and LL-E33288 $\gamma$ <sub>1</sub>-Br were active at concentrations lower than  $1 \times 10^{-6}$  mcg/ml.

#### BRIEF DESCRIPTION OF THE DRAWINGS

FIG. I is the ultraviolet absorption spectra of LL-E33288 $\beta$ <sub>1</sub>-Br;

FIG. II is the infrared absorption spectrum of LL-E33288 $\beta$ <sub>1</sub>-Br;

FIG. III is the proton magnetic resonance spectrum of LL-E33288 $\beta$ <sub>1</sub>-Br;

FIG. IV is the carbon 13 magnetic resonance spectrum of LL-E33288  $\beta$ <sub>1</sub>-Br;

FIG. V is the ultraviolet absorption spectra of LL-E33288 $\gamma$ <sub>1</sub>-Br;

FIG. VI is the infrared absorption spectrum of LL-E33288 $\gamma$ <sub>1</sub>-Br;

FIG. VII is the proton magnetic resonance spectrum of LL-E33288  $\gamma$ <sub>1</sub>-Br;

FIG. VIII is the carbon 13 magnetic resonance spectrum of LL-E33288 $\gamma$ <sub>1</sub>-Br;

FIG. IX is the proton magnetic resonance spectrum of LL-E33288  $\alpha$ <sub>2</sub>-I;

FIG. X is the proton magnetic resonance spectrum of LL-E33288  $\alpha$ <sub>3</sub>-I;

FIG. XI is the ultraviolet absorption spectra of LL-E33288 $\beta$ <sub>1</sub>-I;

FIG. XII is the infrared absorption spectrum of LL-E33288 $\beta$ <sub>1</sub>-I;

5        FIG. XIII is the protein magnetic resonance spectrum of LL-E33288 $\beta$ <sub>1</sub>-I;

FIG. XIV is the carbon 13 magnetic resonance spectrum of LL-E33288 $\beta$ <sub>1</sub>-I;

10       FIG. XV is the ultraviolet absorption spectra of LL-E33288 $\gamma$ <sub>1</sub>-I;

FIG. XVI is the infrared absorption spectrum of LL-E33288 $\gamma$ <sub>1</sub>-I;

FIG. XVII is the proton magnetic resonance spectrum of LL-E33288 $\gamma$ <sub>1</sub>-I; and

15       FIG. XVIII is the carbon 13 magnetic resonance spectrum of LL-E33288 $\gamma$ <sub>1</sub>-I.

#### DETAILED DESCRIPTION OF THE INVENTION

The physico-chemical characteristics of LL-E33288 $\beta$ <sub>1</sub>-Br and LL-E33288 $\gamma$ <sub>1</sub>-Br are described below:

#### 20       LL-E33288 $\beta$ <sub>1</sub>-Br

- 1) Approximate elemental analysis: C 48.6; H 5.6; N 2.9; S 9.1; and Br 5.5. (It has been determined by electron spectroscopy for chemical analysis (ESCA) that only the following elements are present: C, H, N, O, S and Br);
- 2) Melting point: 146-150°C (dec.);
- 3) Specific rotation:  $[\alpha]_D^{26} = -49 \pm 10^\circ$  (0.1% ethanol);
- 4) Ultraviolet absorption spectra: as shown in Figure I (methanol; acidic methanol; basic methanol);
- 30    5) Infrared absorption spectrum: as shown in Figure II (KBr disc);
- 6) Proton magnetic resonance spectrum: as shown in Figure III (300 MHz, CDCl<sub>3</sub>);
- 35    7) Carbon-13 magnetic resonance spectrum: as shown in Figure IV (75.43 MHz, CDCl<sub>3</sub>, ppm from TMS), significant peaks as listed below:

17.60(q);	17.64(q);	18.9(q);	19.7(q);
22.4(q);	22.8(q);	23.5(q);	34.3(t);
36.9(t);	39.2 (t/d);	47.8 (d);	51.7(q);
52.7(q);	54.6 (t/d);	56.3(q);	57.2(q);
57.8(d);	61.0 (q/d);	61.7(d);	62.4 (t);
66.9(d);	68.4(d);	69.1(d);	69.7(d);
70.2(d);	71.1(d);	71.9(d);	72.1 (s/t);
76.1(d);	81.0(d);	83.3(s);	88.2(s);
97.4(d);	99.7(d);	100.8(s);	102.5(d);
115.1(s);	123.4(d);	124.4(d);	126.5(d);
130.2(s);	130.8(s);	144.6(s);	149.3(s);
149.5(s);	191.7(s);	192.4(s);	

5

- 8) Molecular weight: 1333/1335 respectively for  $^{79}\text{Br}/^{81}\text{Br}$  as determined by FAB-MS; and
- 9) Molecular formula:  $\text{C}_{54}\text{H}_{84}\text{N}_3\text{O}_{22}\text{S}_4\text{Br}$ , exact masses at 1258.3699 ( $^{79}\text{Br}$ ) and 1260.3726 ( $^{81}\text{Br}$ ) was determined by high resolution FAB-MS and calculated to be  $\text{C}_{52}\text{H}_{81}\text{N}_3\text{O}_{21}\text{S}_3\text{Br}$  ( $\text{M}+\text{H}-\text{C}_2\text{H}_4\text{OS}$ ).

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LL-E33288  $\gamma_1$ -Br

- 1) Ultraviolet absorption spectra: as shown in Figure V (methanol; acidic methanol; basic methanol);
- 2) Infrared absorption spectrum: as shown in Figure VI (KBr disc);
- 3) Proton magnetic resonance spectrum: as shown in Figure VII (300 MHz,  $\text{CDCl}_3$ );
- 4) Carbon 13 magnetic resonance spectrum: as shown in Figure VIII (75.43 MHz,  $\text{CDCl}_3$ , ppm from TMS), significant peaks as listed below:

20

25

	14.4	17.6	17.9	19.0
	19.7	-	22.8	-
	-	34.0	37.6	39.5
	42.1	-	51.6	52.7
	54.1	56.3	57.3	-
5	59.3	61.1	61.8	61.9
	67.2	68.18	68.23	69.7
	70.1	70.8	71.1	71.7
	71.8	76.1	-	81.0
	82.9	88.4	-	97.8
	100.0	100.2	101.3	103.0
	115.3	123.0	124.9	126.9
	130.4	131.1	131.8	138.0
10	144.7	-	149.5	149.6
	155.6	192.5	192.9	

- 5) Molecular formula:  $C_{53}H_{82}N_3O_{22}S_4Br$  by comparing its UV, IR,  $^1H$  NMR, and  $^{13}C$  NMR data to those of LL-E33288  $\beta$   $_1$ -BR and LL-E33288  $\gamma$   $_1$ -I; and
- 6) Molecular weight: 1319/1321 respectively for  $^{79}Br/^{81}Br$ , calculated from its molecular formula.

The physico-chemical characteristics of LL-E33288  $\alpha$   $_1$ -I, LL-E33288  $\alpha$   $_2$ -I, LL-E33288  $\alpha$   $_3$ -I, and LL-E33288  $\beta$   $_1$ -I and LL-E33288  $\gamma$   $_1$  -I are described below:

LL-E33288  $\alpha$   $_1$ -I

- 1) Molecular weight: 1145, determined by FAB-MS.

LL-E33288  $\alpha$   $_2$ -I

- 1) Contains and only contains the following elements by electron spectroscopy for chemical analysis (ESCA): C, H, N, O, S, I;
- 2) Molecular weight: 1131, determined by FAB-MS; and
- 3) Proton magnetic resonance spectrum: as shown in Figure IX (300 MHz,  $CDCl_3$ ).

LL-E33288  $\alpha$   $_3$ -I

- 1) Molecular weight: 1066, determined by FAB-MS; and
- 2) Proton magnetic resonance spectrum: as shown in

Figure X (300 MHz, CDCl<sub>3</sub>).

LL-E33288 β<sub>1</sub>-I

- 1) Ultraviolet absorption spectra: as shown in Figure XI (methanol; acidic methanol; basic methanol);
- 2) Infrared absorption spectrum: as shown in Figure XII (KBr disc);
- 3) Proton magnetic resonance spectrum: as shown in Figure XIII (300 MHz, CDCl<sub>3</sub>);
- 4) Carbon 13 magnetic resonance spectrum: as shown in Figure XIV (75.43 MHz, CDCl<sub>3</sub>, ppm from TMS), significant peaks as listed below:

	-	17.5	17.6	18.9
	-	22.4	22.8	23.4
15	25.4	34.3	36.9	39.2
	-	47.9	51.6	52.8
	54.8	56.3	57.2	57.9
	60.9		61.6	62.2
	67.0	68.4	68.4	69.1
	69.6	70.4	71.1	71.8
	72.2	76.2	-	80.8
	83.3	88.1	93.6	97.4
20	99.6	99.6	-	102.6
	112.4	123.4	124.4	126.4
	-	-	133.4	-
	-	-	-	-
	-	192.3	192.6	-

- 5) Molecular formula: C<sub>54</sub>H<sub>84</sub>N<sub>3</sub>O<sub>22</sub>S<sub>4</sub>I by comparing its UV, IR, <sup>1</sup>H NMR and <sup>13</sup>C NMR data to those of LL-E33288 β<sub>1</sub>-Br and LL-E33288 γ<sub>1</sub>-I; and
- 6) Molecular weight: 1381, calculated from molecular formula.

LL-E33288 γ<sub>1</sub>-I

- 1) Contains and only contains the following elements by electron spectroscopy for chemical analysis (ESCA): C, H, N, O, S, I;
- 2) Approximate elemental analysis: C 48.8; H 5.4; N 2.8; S 9.0; I 9.2;



- 3) Molecular weight: 1367, determined by FAB-MS;
- 4) Molecular formula:  $C_{53}H_{82}N_3O_{22}S_4I$ , exact mass for  $M+H$  was determined by high resolution FAB-MS to be 1368. 3397 for  $C_{53}H_{83}N_3O_{22}S_4I$ ;
- 5) Ultraviolet absorption spectra: as shown in Figure XV (methanol; acidic methanol; basic methanol);
- 6) Infrared absorption spectrum: as shown in Figure XVI (KBr disc);
- 7) Proton magnetic resonance spectrum: as shown in Figure XVII (300 MHz,  $CDCl_3$ ); and
- 8) Carbon 13 magnetic resonance spectrum: as shown in Figure XVIII (75.43 MHz,  $CDCl_3$ , ppm for TMS) significant peaks as listed below:

15	14.5(q)	17.6(q)	17.6(q)	18.9(q)
	-	-	22.8(q)	-
	25.4(q)	34.1(t)	37.0(t)	39.1(t)
	42.3(t/s)	-	51.5(d)	52.8(q)
	54.8(t)	56.3(q)	57.2(q)	-
	60.4(d)	60.9(q)	61.3(t)	61.7(q)
	67.0(d)	68.4(d)	68.5(d)	69.2(d)
	69.7(d)	70.5(d)	71.1(d)	71.8(d)
20	72.1(s)	75.7(d)	75.8(d)	80.9(d)
	82.8(s)	88.1(s)	93.5(s)	97.3(d)
	99.6(d)	99.7(d)	100.8(s)	102.6(d)
	-	123.4(d)	124.4(d)	126.2(d)
	130.2(s)	131.0(s)	133.4(s)	139.1(s)
	143.0(s)	145.1	150.6(s)	151.5(s)
	154.5	192.0(s)	192.5(s)	

25

The LL-E33288 components are most conveniently separated and identified by high-performance liquid chromatography (HPLC) and by thin-layer chromatography (TLC). It is difficult, although not impossible, to separate the corresponding iodinated and brominated components by HPLC; however, they cannot be distinguished by TLC.

30

The preferred analytical separation of the LL-E33288-Br components by HPLC uses the following conditions:

Column: "Separalyte C<sub>18</sub> 5 m," 4.6 mm x 25 cm  
(Analytichem International);

Solvent: Acetonitrile: 0.2M aqueous ammonium acetate (60:40);

Flow rate: 1.5 ml/minute

Detector: Dual wavelength UV at 254 nm and 280 nm;

Sensitivity: 0-0.02 A.U.F.S.

Table IA gives the approximate retention times and volumes of LL-E33288 $\beta$ <sub>1</sub>-Br, LL-E33288 $\beta$ <sub>2</sub>-Br, and LL-E33288  $\gamma$ <sub>1</sub>-Br under these conditions.

TABLE IA

LL-E33288 Components	Retention Time (minutes)	Retention Volume(ml)
$\beta$ <sub>1</sub> -Br	5.7	8.6
$\beta$ <sub>2</sub> -Br	7.1	10.7
$\gamma$ <sub>1</sub> -Br	4.3	6.5

The preferred analytical HPLC separation of the iodine containing LL-E33288 components uses the following conditions:

Column: NOVA-PAK C<sub>16</sub> Radial-PAK cartridge with  
RCM-100 Radial Compression Module  
(Millipore, Waters Chromatography Division);

Solvent: Acetonitrile: 0.2M aqueous ammonium  
acetate (50:50);

Flow Rate: 1.2 ml/minute;

Detector: Dual wavelength UV at 254 nm and 280 nm;

Sensitivity: 0-0.02 A.U.F.S.

Table IB gives the approximate retention times and volumes of LL-E33288  $\alpha_1$ -I, LL-E33288 $\alpha_2$ -I, LL-E33288 $\alpha_3$ -I, LL-E33288  $\beta_1$ -I, LL-E33288  $\beta_2$ -I, LL-E33288  $\gamma_1$ -I and LL-E33288 $\delta_1$ -I under these conditions.

TABLE IB

LL-E33288 Components	Retention Time (minutes)	Retention Volume(ml)
$\alpha_1$ -I	11.9	14.3
$\alpha_2$ -I	9.1	10.9
$\alpha_3$ -I	1.5	1.8
$\beta_1$ -I	4.4	5.3
$\beta_2$ -I	5.0	6.0
$\gamma_1$ -I	3.6	4.3
$\delta_1$ -I	2.6	3.1

The LL-E33288 components are separated and identified by the following TLC system:

Adsorbant: Silica gel 60 F254 pre-coated aluminum sheets, 0.2mm layer thickness, EM Reagents;

Detection: Visualized by quenching effect under short wavelength UV lamp (254 nm), and bioautography using Bacillus subtilis or the modified biochemical induction assay;

Solvent Systems: I, ethyl acetate saturated with 0.1M aqueous potassium dihydrogen phosphate;

II, 3% isopropyl alcohol in ethyl acetate saturated with 0.1M aqueous potassium dihydrogen phosphate;

III, ethyl acetate:methanol (95.5).

Table II gives the approximate R<sub>f</sub> values of LL-E33288 components in these three systems:

TABLE II

LL-E33288 Components	R <sub>f</sub> Value		
	Solvent System I	Solvent System II	Solvent System III
$\alpha_1$ -Br, $\alpha_1$ -I	0.67	0.80	0.79
$\alpha_2$ -Br, $\alpha_2$ -I	0.61	0.75	0.73
$\alpha_3$ -Br, $\alpha_3$ -I	0.55	0.69	0.61
$\alpha_4$ -Br	0.49	0.64	0.54
$\beta_2$ -Br, $\beta_2$ -I	0.32	0.41	0.45
$\beta_1$ -Br, $\beta_1$ -I	0.24	0.35	0.36
$\gamma_1$ -Br, $\gamma_1$ -I	0.18	0.28	0.27
$\delta_1$ -I	0.11	0.19	

The new antibacterial and antitumor agents designated LL-E33288 $\alpha_1$ -Br, LL-E33288 $\alpha_2$ -Br, LL-E33288 $\alpha_3$ -Br, LL-E33288 $\alpha_4$ -Br, LL-E33288  $\beta_1$ -Br, LL-E33288 $\beta_2$ -Br, LL-E33288  $\gamma_1$ -Br, LL-E33288 $\alpha_1$ -I, LL-E33288 $\alpha_2$ -I, LL-E33288 $\alpha_3$ -I, LL-E33288 $\beta_1$ -I, LL-E33288 $\beta_2$ -I, LL-E33288 $\gamma_1$ -I and LL-E33288 $\delta_1$ -I are formed during the cultivation under controlled conditions of a new strain of Micromonospora echinospora ssp. calichensis. This microorganism is maintained in the culture collection of the Medical Research Division, American Cyanamid Company, Pearl River, New York as culture number LL-E33288. A viable culture of this new microorganism has been deposited with the Culture Collection Laboratory, Northern Regional Research Center, U. S. Department of Agriculture, Peoria, Illinois on August 9, 1984, and has been added to its permanent collection. It has been assigned by such depository the strain designation NRRL 15839. Access to such culture, under strain designation NRRL 15839, during pendency of the instant application shall be available to one determined by the Commissioner of Patents and Trademarks to be entitled thereto under 37 C.F.R. §1.14 and 35 U.S.C. §122, and all restrictions on availability to the public of such culture will be irrevocably removed upon grant of a patent on the instant application.

Culture LL-E33288 was isolated from a caliche clay soil sample collected in Texas.

The generic assignment of the strain NRRL 15839 to the genus Micromonospora was confirmed morphologically and chemically. The strain produces monospores either singly or in masses on the vegetative hyphae. No aerial hyphae were observed. Electron microscopic examination showed that the spores were warty. Whole cell analysis showed that the strain contained the meso isomer of diaminopimelic acid (DAP). The 3-OH derivative of DAP was present in large (major) amounts. Additionally the strain showed the presence of xylose plus traces of arabinose in its whole cell sugar hydrolysates (whole cell sugar pattern of Type D).

From macromorphological and physiological studies it was concluded that NRRL 15839 can be considered subspecies of M. echinospora (it is closest to M. echinospora ssp. pallida). Data on the morphology of NRRL 15839 are given in Tables A and B. Physiological data are given in Tables C and D.

Table A

Macromorphology Of NRRL 15839  
(Colors Are NBS-ISCC)

ISP Agar Medium	Spores	Vegetative Mycelium	Soluble Pigments
Yeast- Malt (ISP 2)	-	Dark orange- yellow (72)	-
Oatmeal (ISP 3)	-	Colorless + pale orange-yellow (73)	-
Inorganic Salts- Starch (ISP 4)	Slight border of black spores	Dark orange- yellow (72) to lt. yellow-brown (76)	Lt. brownish
Glycerol- Asparagine (ISP 5)	-	Pale orange-yellow (73) + colorless	-

Table B

Macromorphology of NRRL-15839 on Various Agar Media Used for Actinomycete Growth (28°C, 2 weeks)

5	Agar Medium	NRRL-15839
10	Pablum  Yeast Czapeks	Beige veg. Sl. black spores No sol. pig.  Beige veg. No spores No sol. pig.
15	Czapek's  Yeast Dextrose	Beige veg. Sl. black spores No sol. pig.  Tan veg. Moderate black sp. Sl. dark pig.
20	Nutrient  Nutrient- Glycerol	Colorless to tan veg. Sl. black spores No sol. pig.  Colorless to light beige veg. No black spores No sol. pig.
25	Bennett's Dextrin  Glucose- Asparagine	Colorless to beige veg. Sl. black spores Sl. rosy-brown pig.  Colorless to lt. orange-beige veg. No spores No sol. pig.
30		

veg. = vegetative hyphae; pig. = pigment.

Table C

Carbohydrate Utilization of NRRL-15839

5

Arabinose +

Cellulose -

Fructose +

10

Glucose +

Inositol -

Mannitol -

Raffinose +

15

Rhamnose +

Sucrose +

Xylose +

20

Table D

Physiological Reactions of NRRL-15839

25

Hydrolysis of

Casein +

Xanthine -

Hypoxanthine -

Tyrosine +

Adenine -

30

Gelatin +

Potato Starch +

Esculin +

Production of

Nitrate +

Reductase +

30

Phosphatase W

Urease -



	<u>Growth on</u>	
	Salicin	-
	5% NaCl	-
	Lysozyme Broth	-
	<u>Decarboxylation of</u>	
5	Acetate	+
	Benzoate	-
	Citrate	-
	Lactate	-
	Malate	-
	Mucate	-
	Oxalate	-
	Propionate	+
10	Pyruvate	+
	Succinate	-
	Tartrate	-
	<u>Acid from</u>	
	Adonitol	-
	Arabinose	+
	Cellobiose	+
15	Dextrin	+
	Dulcitol	-
	Erythritol	-
	Fructose	+
	Galactose	V
	Glucose	+
	Glycerol	-
20	Inositol	-
	Lactose	-
	Maltose	+
	Mannitol	-
	Mannose	+
	$\alpha$ -methyl D	-
	Glucoside	
	Melibiose	-
25	Raffinose	+
	Rhamnose	+
	Salicin	+
	Sorbitol	-
	Sucrose	+
	Trehalose	+
	Xylose	+
30	$\beta$ -Methyl	
	D-xyloside	-
	<u>Growth at</u>	
	10°	-
	42°	+
	45°	+

35

+ = positive; - = negative; V = variable;  
W = weak.

Derivation of Mutant LL-E33288-R66, NRRL-15975

In an effort to improve fermentation yields, the original culture LL-E33288 (NRRL-15839) was plated and 50 single colonies were isolated. These were designated NS1 to NS50 (NS = natural selection).

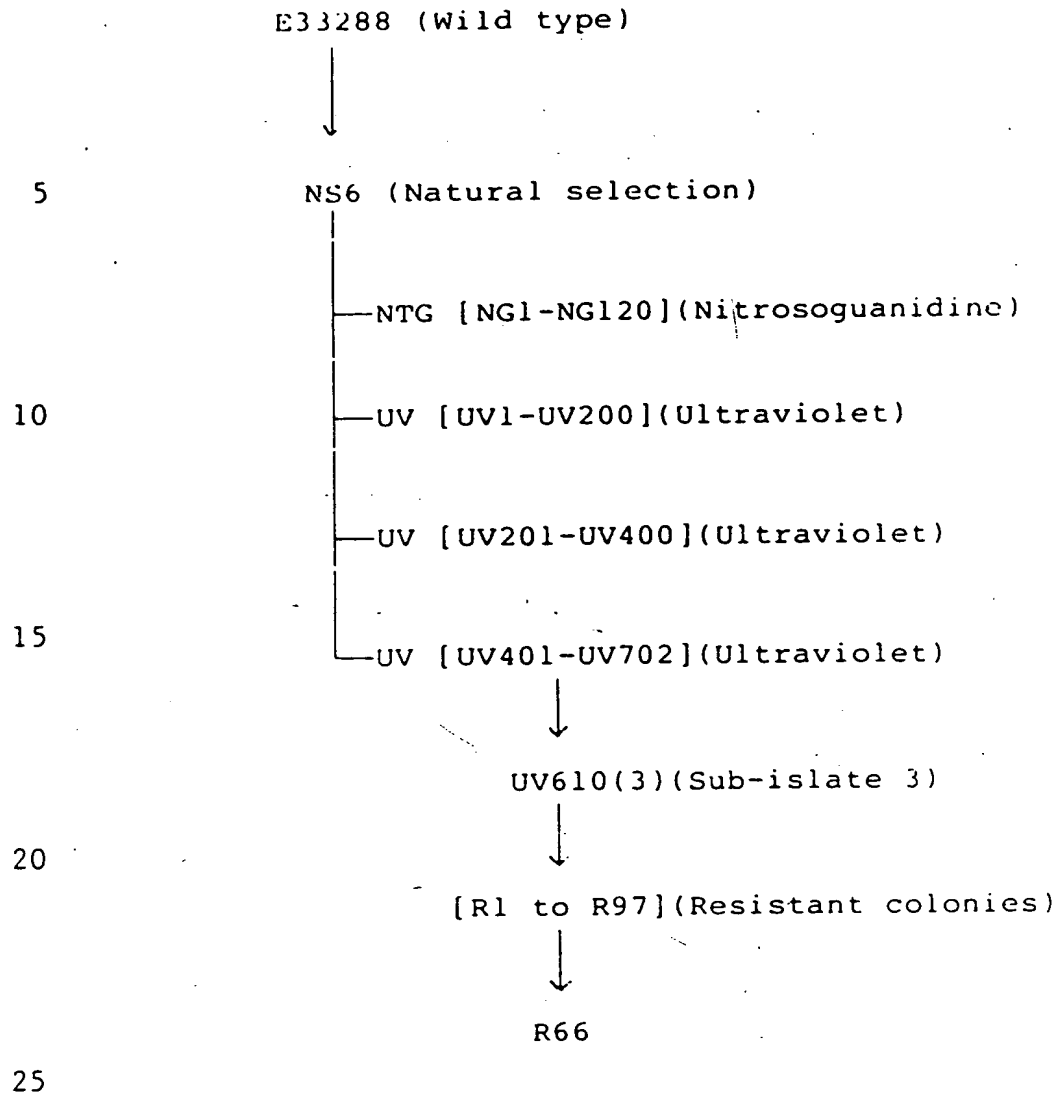
Fermentation of these isolates showed that those with moderate sporulation were generally better producers of LL-E33288 complex. Selected as representative of this group was isolate NS6.

Using isolate NS6 as the starting culture, spore suspensions were prepared and exposed to various mutagens. Single colonies were isolated from a nitrosoguanidine treatment, but none proved to be significantly improved producers of the LL-E33288 complex. From a subsequent series of exposures to ultraviolet irradiation, single colonies were obtained from which isolate UV 610 was selected as a high yielding mutant. Isolate UV 610 was then streaked and sub-isolates 1 to 7 were obtained. Sub-isolate UV 610(3) was selected for further work.

Because of the highly potent antibacterial and antineoplastic nature of the LL-E33288 complex it is possible that once a limited concentration of the antibiotic is biosynthesized in the fermentation it may become toxic/inhibitory to the producing culture. Thus, an effort was made to obtain isolates which are resistant to the LL-E33288 antibiotic complex.

Vegetative growth from isolate UV 610(3) was prepared as employed for fermentation and used to inoculate a flask of medium consisting of peptone, dextrose, molasses and water. The medium was supplemented with LL-E33288 $\beta_1$ -Br at a concentration of 8  $\mu$ g/m. A number of platings were done from this flask and a resistant population was obtained on the seventh day. A total of 97 colonies (R1 to R97) were isolated. Isolate R66 was selected as a potentially improved producer of LL-E33288  $\beta_1$ -Br.

The history is represented schematically below.



25                      The mutant R66 is maintained in the culture  
collection of the Medical Research Division, American  
Cyanamid Company, Pearl river, New York as culture number  
30                      LL-E33288 R66. A viable culture of this new microorganism  
has been deposited with the Culture Collection Laboratory,  
Northern Regional Research center, U. S. Department of  
Agriculture, Peoria, Illinois on June 6, 1985, and has  
been added to its permanent collection. It has been  
35                      assigned by such depository the strain designation NRRL  
15975. Access to such culture, under strain designation  
NRRL 15975, during pendency of the instant application

shall be available to one determined by the Commissioner of Patents and Trademarks to be entitled thereto under C.F.R. §1.14 and 35 U.S.C. §122, and all restrictions on availability to the public of such culture will be irrevocably removed upon grant of a patent on the instant application.

Morphologically, NRRL-15975 forms fewer spores than NRRL-15839. A comparison of NRRL-15975 with NRRL-15839 is given in Table DD.

Chemically, both NRRL-15839 and NRRL-15975 show the same whole cell sugar patterns (Type D: xylose and traces of arabinose). The whole cell diaminopimelic acid analysis reveals that 15975 does not form the meso isomer but only the 3-hydroxy derivative (NRRL-15839 contains both compounds). This does not change the chemo-taxonomic assignment.

Physiological tests show that NRRL-15839 and NRRL-15975 differ in only two physiological reactions (See Table D). NRRL-15975 is negative for nitrate reductase and positive for utilization of lactate. NRRL-15839 was weakly positive for both, but is now negative after having been maintained on slants for a few months. Thus these characters should be considered variable for this taxon.

TABLE DD

Morphological Comparison of NRRL 15839 and NRRL 15975

Agar Medium		NRRL 15839	NRRL 15975
Bennett's-Dextrin	V <sup>1</sup>	Beige-tan	Beige tan
	Sp	Black, copious	None
	SS	None	None
Czapek's	V	Orange-tan	Orange-tan
	Sp	Black, traces	None
	SS	None	None
Yeast Extract-Czapek's	V	Orange tan, flat	Orange tan, convoluted
	Sp	Black, traces	None
	SS	None	Slight yellowish
Potato-Dextrose	V	Very poor growth	Very poor growth
	Sp	None	None
	SS	None	None
Nutrient glycerol	V	Tan	Tan
	Sp	Black, sparse	Black, sparse
	SS	None	Slight brownish
Nutrient	V	Tan	Tan
	Sp	Black, fair	None
	SS	None	None

1 = V = vegetative hyphae; Sp = spores; SS = soluble pigment.

It is to be understood that for the production of these new antibacterial and antitumor agents the present invention is not limited to this particular organisms or to organisms fully answering the above growth microscopic characteristics which were given for illustrative purposes only. In fact, it is desired and intended to include the use of mutants produced from these organisms by various means such as exposure to X-radiation, ultraviolet radiation, N'-methyl-N'-nitro-N-nitrosoguanidine, actinophages and the like.

The in vitro antibacterial activity of LL-E33288 components was determined against a spectrum of gram-positive and gram-negative bacteria by a standard agar dilution method. Mueller-Hinton again containing two-fold decreasing concentrations of the antibiotics were poured into petri plates. the agar surfaces were inoculated with  $1$  to  $5 \times 10^4$  colong forming units of bacteria by means of the steers replicating device. The lowest concentration of LL-E33288 component that inhibited growth of a bacterial strain after about 18 hours of incubation at approximately  $35^{\circ}\text{C}$  was recorded as the minimal inhibitory concentration (MIC) for that strain. The results are summarized in Table III.

TABLE III  
In vitro Antibacterial Activity of LL-E33288 Components

Organism	Minimal Inhibitory Concentration, mcg/ml			
	$\beta$ 1-Br	$\beta$ 1-I	$\gamma$ 1-Br	$\gamma$ 1-I
<u>Escherichia coli</u>				
CMC 84-11	0.25	0.50	0.50	0.25
" " " No. 311-(MP)	0.12	0.25	0.25	0.25
" " " ATCC 25922	0.12	0.25	0.25	0.25
<u>Klebsiella pneumoniae</u>				
CMC 84-5	0.25	0.50	0.50	0.25
" " " AD (MP)	0.12	0.50	0.50	0.25
<u>Enterobacter cloacae</u>				
CMC 84-4	0.5	0.50	0.50	0.50
" " " aerogenes	0.25	0.25	0.50	0.50
<u>Serratia marcescens</u>				
CMC 83-27	0.12	0.25	0.50	0.25
" " " F35 (MP)	0.12	0.50	0.25	0.12
<u>Morganella morganii</u>				
10 83-18	0.5	1	0.50	0.25
<u>Providencia stuartii</u>				
CMC 83-82	0.25	0.50	1	0.25
<u>Citrobacter diversus</u>				
K 82-84	0.12	0.50	0.50	0.25
" " " freundii	0.12	0.25	0.25	0.12
<u>Acinetobacter sp.</u>				
CMC 83-89	0.06	0.25	0.25	0.12
" " " sp.	0.12	0.25	0.25	0.06

TABLE III (continued)

Organism	Minimal Inhibitory Concentration, mcg/ml			
	$\beta$ 1-Br	$\beta$ 1-I	$\gamma$ 1-Br	$\gamma$ 1-I
<u>Pseudomonas aeruginosa</u>	0.5	0.50	1	0.25
"	0.25	0.25	0.50	0.12
<u>Staphylococcus aureus</u>	$\leq 0.0025$	$\leq 0.000031$	$\leq 0.000031$	$\leq 0.000031$
"	$\leq 0.00025$	$\leq 0.000031$	$\leq 0.000031$	$\leq 0.000031$
"	$\leq 0.00025$	$\leq 0.000031$	$\leq 0.000031$	$\leq 0.000031$
"	$\leq 0.00025$	$\leq 0.000031$	$\leq 0.000031$	$\leq 0.000031$
"	$\leq 0.00025$	$\leq 0.000031$	$\leq 0.000031$	$\leq 0.000031$
"	$\leq 0.00025$	$\leq 0.000031$	$\leq 0.000031$	$\leq 0.000031$
"	$\leq 0.00025$	$\leq 0.000031$	$\leq 0.000031$	$\leq 0.000031$
<u>Staphylococcus epidermidis</u>	$\leq 0.00025$	$\leq 0.000031$	$\leq 0.000031$	$\leq 0.000031$
"	$\leq 0.00025$	$\leq 0.000031$	$\leq 0.000031$	$\leq 0.000031$
<u>Enterococcus sp.</u>	0.0038	0.031	0.062	0.0078
<u>Streptococcus faecalis</u>	$\leq 0.00025$	0.00012	$\leq 0.000031$	0.00012
<u>Micrococcus luteus</u>	$\leq 0.00025$	$\leq 0.000031$	$\leq 0.000031$	$\leq 0.000031$
<u>Bacillus subtilis</u>	$\leq 0.00025$	$\leq 0.000031$	$\leq 0.000031$	$\leq 0.000031$



Certain in vivo testing systems and protocols have been developed by the National Cancer Institute for testing compounds to determine their suitability as anti-neoplastic agents. These have been reported in "Cancer Chemotherapy Reports", Part III, Vol. 3, No. 2 (1972), Geran, et al. These protocols have established standardized screening tests which are generally followed in the field of testing for antitumor agents. Of these systems, lymphocytic leukemia

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P388, melanotic melanoma B16, L1210 leukemia and colon 26 adenocarcinoma are particularly significant to the present invention. These neoplasms are utilized for testing as transplantable tumors in mice. Generally, significant anti-tumor activity, shown in these protocols by a percentage increase of mean survival times of the treated animals (T) over the control animals (C), is indicative of similar results in human leukemias and solid tumors.

Lymphocytic Leukemia P388 Test

The animals used were BDF<sub>1</sub> mice, all of one sex, weighing a minimum of 17 g and all within a 3 g weight range. There were 5 or 6 mice per test group. The tumor transplant was by intraperitoneal injection of 0.5 ml of dilute ascitic fluid containing 10<sup>6</sup> cells of lymphocytic leukemia P388. LL-E33288 antibiotics were tested in the P388 system both as the individual  $\beta$ <sub>1</sub>-Br and  $\gamma$ -Br components and as a complex of all components (Bromo-complex). The test compounds were administered intraperitoneally at a volume of 0.5 ml in 0.2% Klucel in normal saline on days 1, 5 and 9 (relative to tumor inoculation) at the indicated doses. The mice were weighed and the survivors recorded on a regular basis for 30 days. The median survival time and the ratio of survival time for treated (T)/control (C) animals were calculated. The positive control compound was Cisplatin given as an intraperitoneal injection in 0.5 ml of 0.2% Klucel on days 1, 5 and 9 at the indicated doses. The results appear in Table IV.

If  $T/C \times 100 (\%)$  is 125 or over, the tested compound is considered to have significant anti-tumor activity.

TABLE IV  
Lymphocytic Leukemia P388 Test

Compound	Dose (mg/kg)	Median Survival (Days)	T/C x 100 (%)
LL-E33288 (Bromo-complex)	3.2	16.5	156
	1.6	17.5	165
	0.8	18.5	175
	0.4	19	179
	0.2	16.5	156
Control	-	10.6	-
Positive Control	1.0	21.5	203
	0.25	15	142
	0.06	14.5	137
LL-E-33288 <sub>1</sub> -Br	0.4	13	105
	0.2	18	145
	0.1	19	153
	0.05	17.5	141
	0.025	18	145
	0.012	14	113
Control	-	12.4	-
Positive Control	1.0	25.5	206
	0.4	19	153
	0.06	15	121

TABLE IV (continued)

Compound	Dose (mg/kg)	Median Survival (Days)	T/C x 100 (%)
LL-E33288 $\gamma_1$ -Br	0.2	14	113
	0.1	21	169
	0.05	19.5	157
	0.025	18	145
	0.012	14.5	117
Control	-	12.4	-
Positive Control	1.0	25.5	206
	0.4	19	153
	0.06	15	121

Melanotic Melanoma B16

The animals used were BDF<sub>1</sub> mice, all of the same sex, weighing a minimum of 17 g and all within a 3 g weight range. There are normally 6 animals per test group. A 1 g portion of melanotic melanoma B<sub>16</sub> tumor was homogenized in 10 ml of cold balanced salt solution and a 0.5 ml aliquot of the homogenate was implanted intraperitoneally into each of the test mice. LL-E33288 antibiotics were tested in the B<sub>16</sub> system both as the individual  $\beta_1$ -Br and  $\gamma_1$ -Br components and as a complex of all components (Bromo-complex). The test compounds were administered intraperitoneally on days 1 through 9 (relative to tumor inoculation) at various doses. The mice were weighed and survivors recorded on a regular basis for 60 days. The median survival time and the ratio of survival time for treated (T)/control (C) animals were calculated. The positive control compounds were Cisplatin or Adriamycin. The results of this test appear in Table V. If T/C X 100 (%) is 125 or over, the tested compound is considered to have significant anti-tumor activity.

TABLE V  
Melanotic Melanoma B<sub>16</sub> Test

Compound	Dose (mg/kg)	Median Survival (Days)	T/C x 100 (%)
LL-E33288 (Bromo-complex)	0.8	30	188
	0.4	29.5	184
	0.2	27	169
	0.1	24	150
Control	-	16	-
Cisplatin	0.4	25	156
	0.2	25	156
	0.1	23	144
	0.05	21.5	134
LL-E33288 $\beta_1$ -Br	0.05	32	168
	0.025	33.5	176
	0.0125	32	168
Control	-	19	-
Adriamycin	0.8	> 60	> 316
	0.4	> 60	> 316

TABLE V (continued)

Compound	Dose (mg/kg)	Median Survival (Days)	T/C x 100 (%)
LL-E33288 $\gamma$ 1-Br	0.05	33.5	176
	0.025	30	159
	0.0125	37	195
Control	-	19	-
Adriamycin	0.8	> 60	> 316
	0.4	> 60	> 316

Lymphocytic Leukemia L1210 Test

The animals used were BDF<sub>1</sub> mice, all of one sex, weighing a minimum of 17 g and all within a 3 g weight range. There were 6 mice in each test group and 18 in control groups. The tumor transplant was by intraperitoneal injection of 0.5 ml of lymphocytic leukemia L1210 at a concentration of 10<sup>5</sup> cells per mouse. LL-E33288 antibiotics were tested in the L1210 system both as the individual  $\beta$ 1-Br component and as a complex of all components (Bromo-complex). The test compounds were administered on days 1, 5 and 9 or days 1 through 9 (relative to tumor inoculation) at various doses. The mice were weighed and survivors recorded on a regular basis for 30 days. The median survival time and the ratio of survival time for treated (T)/control (C) animals were calculated. The positive control compound was 1,4-dihydroxy-5,8-bis[[2-(2-hy-

droxyethylamino)ethyl]amino]anthraquinone dihydrochloride or Cisplatin given intraperitoneally at the indicated dose. The results appear in Table VI. If T/C X 100 (%) is 125 or over, the tested compound is considered to have significant anti-tumor activity.

TABLE VI

Lymphocytic Leukemia L1210 Test

Compound	Dose (mg/kg)	Median Survival (Days)	T/C x 100 (%)
LL-E33288 (Bromo-complex)	1.5	29	174
	0.8	28.5	171
	0.4	22.5	135
	0.2	22.5	135
Control	-	16.7	-
Anthraquinone	1.6	30	180
	0.8	30	180
	0.4	30	180
LL-E33288 $\beta_1$ -Br	0.2	11.3	136
	0.1	11.4	137
	0.05	11	133
	0.025	11.3	136
Control	-	8.3	-
Cisplatin	5	7.5	90
	2.5	12	145
	1.25	11	133

Colon 26 Adenocarcinoma Test

The animals used were CD<sub>2</sub>F<sub>1</sub> female mice weighing a minimum of 17 g and all within a 3 g weight range. There were 5 or 6 mice per test group with three groups of 5 or 6 animals used as untreated controls for each test. The tumor implant was by intraperitoneal (or subcutaneous) injection of 0.5 ml of a 2% Colon 26 tumor brei in Eagle's MEM medium containing antibiotics. LL-E33288 antibiotics were tested in the Colon 26 system as a complex (Bromo-complex) of all components. The test compounds were administered intraperitoneally on days 1, 5 and 9 (relative to tumor implant doses). The mice were weighed and deaths recorded on a regular basis for 30 days. The median survival times for treated (T)/control (C) animals were calculated. The positive control compound was Cisplatin. The results appear in Table VII. If  $T/C \times 100$  (%) is 130 or over, the tested compound is considered to have significant anti-tumor activity.

TABLE VII

Colon 26 Adenocarcinoma Test

Compound	Dose (mg/kg)	Median Survival (Days)	T/C x 100 (%)
LL-E33288 (Bromo-complex)	1.5	39.5	212
	0.8	32.5	175
	0.4	34	183
	0.2	25.5	137
Control	-	18.6	-
Positive Control	1	29	156
	0.5	38.5	207
	0.25	37.5	202



M5076 Sarcoma

The M5076 reticular cell Sarcoma is propagated as subcutaneous implants in C57B2/6 female mice. In the assays for antitumor activity, BDF<sub>1</sub> mice of either sex were inoculated intraperitoneally with 0.5 ml of a 10% tumor brei. LL-E33288 antibiotics were tested in the M5076 system as a complex (Bromo-complex) of all components. Test compounds were administered intraperitoneally on days 1, 5, 9, 13 and 17 relative to tumor inoculation on day zero. The median survival time in days was determined for each drug dose used on day 60 and the ratio of survival time for treated (T)/control (C) animals were calculated.

The results of this test appear in Table VIII compared to the results obtained with Cisplatin. If T/C X 100 (%) is 125 or over, the tested compound is considered to have significant anti-tumor activity.

TABLE VIII

M5076 Sarcoma

Compound	Dose (mg/kg)	Median Survival (Days)	T/C x 100 (%)
LL-E33288 (Bromo-complex)	1.5	50	175
	0.8	50	175
	0.4	39.5	139
Control	-	28.5	-
Cisplatin	1	30	105
	0.5	44.5	156
	0.25	45	158

In the same manner, the following iodo-components were tested for antineoplastic activity.

TABLE IX  
Lymphocytic Leukemia P388 Test

Compound	Dose (mg/kg)	Median Survival (Days)	T/C x 100 (%)
LL-E33288 $\gamma$ 1-I (Test 1)	.005	25.5	196
	.0025	22	169
	.00125	18.5	142
	.0006	18	138
	.0003	15.5	119
	.00015	15	115
Control	-	13	-
Positive Control Novantrone®*	1.6	22.5	173
LL-E33288 $\gamma$ 1-I (Test 2)	.01	11	100
	.005	18	164
	.0025	22.5	205
	.00125	18.5	168
	.0006	16	145
	.0003	14	127
	.00015	14	127
Control	-	11	-
Positive Control Novantrone®	1.6	19	173
	0.8	16	145

\*1,4-dihydroxy-5,8-bis[[2-(2-hydroxyethylamino)ethyl] amino]anthraquinone-2HCl

TABLE X  
Melanotic Melanoma B16 Test

Compound	Dose (mg/kg)	Median Survival (Days)	T/C x 100 (%)
LL-E33288 $\gamma_1$ -I	.0025	26.5	156
	.00125	27	159
	.0006	42.5	250
	.0003	35.5	209
	.00015	33.5	197
	.00007	30.5	179
Control	-	-	-
Adriamycin	0.8	48	282
	0.4	40	235
	0.2	34.5	203

TABLE XI

Lymphocytic Leukemia L1210 Test

Compound	Dose (mg/kg)	Median Survival (Days)	T/C x 100 (%)
LL-E33288 $\gamma$ 1-I	.01	8	89
	.005	14	156
	.0025	11	122
	.0012	10.5	117
	.0006	10	111
Control	-	9	-
Positive Control Novantrone®	3.2	15	167
	1.6	11.5	128
	0.8	12	133
	0.4	11	122

TABLE XII

Colon 26 Adenocarcinoma Test

Compound	Dose (mg/kg)	Median Survival (Days)	T/C x 100 (%)
LL-E33288 $\gamma_1$ -I	.01	11	59
	.005	25.5	138
	.0025	27	146
	.00125	22.5	122
	.0006	23.5	127
	.0003	20	108
	.00015	17.5	95
	.00007	17	92
Control	-	18.5	-
Positive Control Cisplatin	2	15.5	84
	1	27.5	149
	0.5	23.5	127

General Fermentation Conditions

Cultivation of Micromonospora echinospora NRRL 15839 or NRRL 15975 may be carried out in a wide variety of liquid culture media. Media which are useful for the production of these novel antibacterial and antitumor agents include an assimilable source of carbon, such as starch, sugar, molasses, glycerol, etc.; an assimilable source of nitrogen such as protein, protein hydrolysate, polypeptides, amino acids, corn steep liquor, etc.; and inorganic anions and cations, such as potassium, sodium, ammonium, calcium, sulfate, carbonate, phosphate, chloride, etc. and sources of either bromine (sodium bromide) or iodine (potassium iodide). Trace elements such as boron, molybdenum, copper, etc., are supplied as impurities of other constituents of the media. Aeration in tanks and bottles is supplied by forcing sterile air through or onto the surface of the fermenting medium. Further agitation in tanks is provided by a mechanical impeller. An antifoam agent such as silicone may be added as needed.

General Procedure for the Isolation and Separation of the Antibiotics - LL-E33288

The LL-E33288 antibiotics are recovered from the fermentation broth by extracting the whole mash with an organic solvent such as ethyl acetate or dichloromethane. The antibiotic complex, contained in the organic extract, is further purified by selective precipitation from lower hydrocarbons. The crude LL-E-33288 antibiotic complex thus obtained is further purified and separated into the individual components by a series of column chromatographies using silica gel, Sephadex® LH-20 (Pharmacia Fine Chemicals) and C<sub>18</sub> bonded silica.

The invention will be described in greater detail in conjunction with the following non-limiting specific examples.

Example 1  
Inoculum Preparation

5       A typical medium used to grow the primary inoculum  
was prepared according to the following formula:

	Beef extract.....	about 0.3%
	Tryptone.....	about 0.5%
	Dextrose.....	about 0.5%
10	Dextrin.....	about 2.4%
	Calcium carbonate.....	about 0.4%
	Yeast extract.....	about 0.5%
	Water.....qs to.....	100%

15       This medium was adjusted to pH 7.0 and then steri-  
lized. A 100 ml portion of this sterile medium, in a flask,  
was inoculated with frozen mycelia of the culture

              NRRL 15839. The inoculated medium was  
placed on a rotary shaker and agitated vigorously for 48 hours  
at 32°C. This incubated medium was then used to inoculate 10  
20   liters of the above sterile medium in a 14 liter fermentor.  
This medium was incubated, with agitation, at 32°C for 48  
hours, providing secondary inoculum. This secondary inoc-  
ulum was then used to inoculate 300 liters of the above  
sterile medium in a tank and incubated for 48 hours at 30°C  
25   while agitated by an impeller driven at 180-200 rpm, pro-  
viding the tertiary or seed inoculum.

Example 2  
Tank Fermentation

30       A fermentation medium was prepared according to the  
following formulation:

	Dextrose.....	about 0.5%
	Sucrose.....	about 1.5%
	Peptone, bacteriological grade.....	about 0.2%
35	Dibasic potassium phosphate.....	about 0.01%

Molasses..... about 0.5%  
Calcium carbonate..... about 0.5%  
Source of bromine or iodine..... trace amounts  
Water.....qs to..... 100%

5           A 2800 liter portion of the above medium was steri-  
lized and then inoculated with 300 liters of tertiary (seed)  
inoculum prepared as described in Example 1. Aeration was  
supplied at the rate of 0.53 liters of sterile air per liter  
10 of mash per minute and agitation was supplied by an impeller  
driven at 110 rpm. The temperature was maintained at about  
28°C and the fermentation was terminated after about 97 hours,  
at which time the mash was harvested.

15           The fermentation was monitored for production of  
the LL-E33288 antibiotics by antibacterial activity, bio-  
chemical induction assay, TLC and HPLC analyses.

20           The whole harvest mash was adjusted to pH 6 and then  
extracted with 1/2 mash volume ethyl acetate. The ethyl  
acetate extract was concentrated to a syrup which was washed  
twice with hexane and filtered through diatomaceous earth.  
25 The diatomaceous earth cake was thoroughly mixed with ethyl  
acetate and filtered. The filtrate was concentrated to 3  
liters, dried over excess anhydrous sodium sulfate and then  
precipitated by the addition of hexane giving about 26.7 g of  
crude LL-E33288 complex.

25                           Example 3

Separation of LL-E33288  $\alpha_1$ -Br,  $\alpha_2$ -Br,  $\alpha_3$ -Br and  $\alpha_4$ -Br from

LL-E33288  $\beta_1$ -Br,  $\beta_2$ -Br and  $\gamma_1$ -Br

30           The approximately 26.7 g of crude LL-E33288 complex  
(Bromo-complex) from Example 2 was divided evenly into three por-  
tions and chromatographed on three separate 2.4 x 110 cm silica  
gel columns (Silica Woelm®, 32-63 m, Woelm Pharma) packed and  
equilibrated with ethyl acetate saturated with 0.1M aqueous  
potassium dihydrogen phosphate. The columns were first  
35 eluted with the same solvent at a flow rate of 3 ml/minute



for 18 hours, collecting 18 ml fractions. The eluent was changed to ethyl acetate:methanol (95:5) and elution continued for 8 hours. Finally the columns were eluted with ethyl acetate:methanol (90:10) for 10 hours. The fractions were assayed by the modified biochemical induction assay (BIA). The positive fractions were analysed by TLC using silica gel 60 precoated sheets and developed with the solvent system 3% isopropanol in ethyl acetate saturated with 0.1M aqueous potassium dihydrogen phosphate and detected by bioautography using the modified BIA.

Fractions containing LL-E33288 $\alpha_1$ -Br,  $\alpha_2$ -Br,  $\alpha_3$ -Br and  $\alpha_4$ -Br (LL-E33288 $\alpha$ -Br complex) from the three columns were pooled, concentrated to dryness and the residue was dissolved in ethyl acetate and washed with a small amount of water. The ethyl acetate solution was dried over anhydrous sodium sulfate and precipitated as before to yield about 4.2 g of crude LL-E33288 $\alpha$ -Br complex.

Fractions containing LL-E33288 $\beta_2$ -Br,  $\beta_1$ -Br and  $\gamma_1$ -Br (LL-E33288  $\beta$ -Br complex containing  $\gamma$ -Br from the three columns were pooled and worked up as above to yield about 2.0 g of crude LL-E33288  $\beta$ -Br complex containing  $\gamma$ -Br.

#### Example 4

##### Isolation of LL-E33288 $\beta_1$ -Br and LL-E33288 $\gamma_1$ -Br

An approximately 1.9 g sample of the LL-E33288 $\beta$ -Br complex containing  $\gamma$ -Br from Example 3 was chromatographed on a 25 x 10 cm Sephadex® LH-20 column equilibrated with methanol:water (90:10) at a flow rate of 1.2 ml/minute, collecting 15 ml fractions. The fractions were assayed in the BIA and those active were analysed by TLC as before. Fractions 21-26 containing most of the LL-E33288 $\beta_1$ -Br,  $\beta_2$ -Br and  $\gamma_1$ -Br were pooled and concentrated to remove methanol and the resulting aqueous mixture was lyophilized to yield about 435 mg of partially purified complex containing approximately 10% of LL-E33288  $\beta_1$ -Br, 1% of LL-E33288 $\beta_2$ -Br and 4% of LL-E33288  $\gamma_1$ -Br.

The above partially purified LL-E33288 $\beta$ -Br complex containing  $\gamma$ -Br was divided evenly and chromatographed on two 1.5 x 100 cm silica gel columns (Kiesel Gel 60, 40-63 $\mu$ m, EM Products for chromatography) packed and equilibrated with ethyl acetate:methanol (98:2) at a flow rate of 1 ml/minute, collecting 12 ml fractions. The fractions were assayed and analysed by TLC as before and those containing primarily LL-E33288 $\beta_1$ -Br were pooled, concentrated and precipitated from hexane to yield about 26 mg of 80% pure LL-E33288 $\beta_1$ -Br. Those fractions containing LL-E33288 $\gamma_1$ -Br (chromatographing just after LL-E33288  $\beta_1$ -Br) were pooled and worked up to yield about 4.5 mg of 30% pure LL-E33288 $\gamma_1$ -Br. A few fractions containing LL-E33288 $\beta_2$ -Br (chromatographing just before LL-E33288- $\beta_1$ -Br), were pooled and worked up to yield a trace amount of LL-E33288 $\beta_2$ -Br.

#### Example 5

##### Final Purification of LL-E33288 $\beta_1$ -Br

The approximately 26 mg of 80% pure LL-E33288 $\beta_1$ -Br from Example 4 was combined with other LL-E33288  $\beta_1$ -Br samples of similar purity derived from other fermentations conducted under identical conditions. A total of about 38 mg of this combined  $\beta_1$ -Br was further purified by reverse phase preparative TLC using Whatman PLKC18F, 100 m precoated TLC plates, developed with methanol:0.1M ammonium acetate buffer at pH 4.0 (90:10). The band containing LL-E33288  $\beta_1$ -Br, chromatographing at  $R_f$ =0.66 and visualized by quenching effect under short wavelength UV lamp (254 nm), was excised and the antibiotic was washed off the adsorbant with 10% isopropyl alcohol in ethyl acetate saturated with 0.1M aqueous potassium dihydrogen phosphate. The solution was concentrated and the residue was dissolved in ethyl acetate and washed with a small amount of water. The organic solution containing LL-E33288  $\beta_1$ -Br was worked up as before to yield about 24.5 mg of 90% pure LL-E33288 $\beta_1$ -Br. This sample was further purified by preparative TLC on silica gel (Silica

Gel GF precoated plates, 1000 m, Analtech) developed with 3% isopropyl alcohol in ethyl acetate saturated with 0.1M aqueous potassium dihydrogen phosphate. The major quenching band under short wavelength UV lamp (254 nm), chromatographing at  $R_f=0.7$ , was excised and the antibiotic was washed off the adsorbant with dichloromethane:methanol (80:20). The organic solution containing LL-E33288  $\beta_1$ -Br was worked up as before to yield about 18.8 mg of substantially pure LL-E33288  $\beta_1$ -Br.

#### Example 6

##### Final Purification of LL-E33288 $\beta_1$ -Br

The approximately 4.5 mg of 30% pure LL-E33288  $\gamma_1$ -Br from Example 4 was combined with other LL-E33288

$\gamma_1$ -Br samples of similar purity derived from other fermentations conducted under identical conditions. A total of 18 mg of this combined sample was further purified by preparative TLC on silica gel (Silica Gel GF precoated tapered plates, Analtech) developed with 2% isopropyl alcohol in ethyl acetate saturated with 0.1M aqueous potassium dihydrogen phosphate. The major quenching band under short wavelength UV lamp (254 nm), chromatographing at  $R_f=0.5$ , was excised and worked up as before to yield about 4.3 mg of substantially pure LL-E33288  $\gamma_1$ -Br.

A preferred fermentation medium for production of LL-E33288 Bromo-complex is as follows:

<u>Ingredient</u>	<u>Percent</u>
Sucrose	2.0
Ferrous Sulfate Heptahydrate	0.01
Magnesium Sulfate Heptahydrate	0.02
Calcium Carbonate	0.5
Peptone	0.2
Molasses	0.5
Sodium Bromide	0.05
Water qs to	100

However, the addition of iodine as potassium iodide, to the fermentation medium provided substantial improvements by:

- 1) markedly enhancing vegetative growth in the fermentation;
- 2) increasing zones of inhibition in bioassays versus Escherichia coli #300 and Bacillus subtilis #308;
- 3) providing substantial activity at the Rf of LL-E33288<sub>1</sub>-I and  $\gamma$  1-I on the bioautography of TLC plates; and
- 4) enhancement of other components as detected by TLC.

The following two media are preferred for the production of LL-E33288 Iodo-complex:

	<u>Ingredient</u>	<u>Percent</u>	
		<u>Media A</u>	<u>Media B</u>
	Sucrose	2.0	2.0
	Ferrous Sulfate Heptahydrate	0.01	0.01
20	Magnesium Sulfate Heptahydrate	0.02	0.02
	Calcium Carbonate*	0.5	0.25
	Peptone**	0.2	0.2
	Molasses	0.5	0.5
	Potassium iodide	0.05	0.01
25	Water qs to	100	100

\* Mississippi lime.

\*\* Best results were obtained with MARCOR® bacteriological peptone, but other peptones usable and also polypeptides from meat and casein hydrolyzates.

Example 7

A mycelial-spore suspension was prepared by scraping the surface of a slant of culture NRRL-15839 to which 5 ml of sterile distilled water had been added. This suspension was then used to inoculate 100 ml of sterile seed medium of the following formula:

Yeast Extract	0.5%
Beef Extract	0.3%
Tryptose	0.5%
Starch	2.4%
Dextrose	0.5%
Calcium Carbonate	0.4%
Water qs to	100.0%

in a 500 ml flask. This seed flask was incubated at 28°C on a rotary shaker at 200 rpm for 3-4 days, producing Stage I inoculum.

The Stage I inoculum was used to inoculate a Stage II inoculum of the same sterile medium, which was incubated under the same conditions for 2 days.

The Stage II inoculum was then used to inoculate 100 ml of sterile fermentation medium of the formula:

Sucrose	2.0%
Ferrous Sulfate Heptahydrate	0.01%
Magnesium Sulfate Heptahydrate	0.02%
Calcium Carbonate	0.5%
Peptone (MARCOR®)	0.2%
Molasses	0.5%
Potassium Iodide	0.05%
Water qs to	100.0%

This medium was incubated at 28°C on a shaker at 200 rpm for 5 days at which time the mash was harvested.

A concentration of 4 to 20  $\mu\text{g/ml}$  of potassium iodide appears to be optimal, but concentrations of 2  $\text{mg/ml}$  do not appear to depress yields.

5 NRRL-15839 can be induced to produce LL-E33288  $\beta_1$ -I when potassium iodide is present in the medium, but only at very low levels (0.2-0.3  $\mu\text{g/ml}$ ) as against 1.5-3.5  $\mu\text{g/ml}$  for the better producing NRRL-15975.

10 Yields of  $\beta_1$ -I and  $\gamma_1$ -I in an iodine medium are 2 to 8 times greater than yields of corresponding brominated compounds  $\beta_1$ -Br and  $\gamma_1$ -Br in a bromine medium using NRRL-15975.

Example 8

Separation of LL-E33288  $\alpha_1$ -I,  $\alpha_2$ -I, and  $\alpha_3$ -I from  
LL-E33288  $\beta_1$ -I,  $\beta_2$ -I,  $\gamma_1$ -I and  $\delta_1$ -I

15 Approximately 41.3 g of crude LL-E33288 complex derived from the processing 7500 liters of a fermentation using NRRL-15975 and medium containing inorganic iodide was divided evenly into two portions and chromatographed on two separate  
20 2.5 x 110 cm silica gel column (Silica Woelm, 32-63  $\mu\text{m}$ ) packed and equilibrated with ethyl acetate. The columns were first eluted with ethyl acetate at a flow rate of 4 ml/minute for 4 hours, collecting 20 ml fractions. The eluent was changed to a concave gradient from ethyl  
25 acetate saturated with 0.1 M aqueous potassium dihydrogen phosphate to 10% isopropyl alcohol in ethyl acetate saturated with 0.1 M aqueous potassium dihydrogen phosphate over 24 hours. The columns were finally eluted with 10% isopropyl alcohol in ethyl acetate saturated with  
30 0.1 M aqueous potassium dihydrogen phosphate over night. The fractions were assayed in the BIA and those active were analysed by TLC as described in Example 3.

Fractions (86-107) containing LL-E33288  $\alpha_3$ -I from the two columns were pooled and worked up as before to yield about 2.1 g of crude LL-E33288  $\alpha_3$ -I.

Fractions (182-253) containing LL-E33288  $\alpha_1$ -I and  $\alpha_2$ -I from the two columns were pooled and worked up to yield about 4.2 g of a crude mixture of LL-E33288  $\alpha_1$ -I and  $\alpha_2$ -I.

5 Fractions (254-272) containing LL-E33288  $\beta_2$ -I and  $\beta_1$ -I from the two columns were pooled and worked up to yield about 1.2 g of a crude mixture of LL-E33288  $\beta_2$ -I and  $\beta_1$ -I.

10 Fractions (273-302) containing LL-E33288  $\gamma_1$ -I from the two columns were pooled and worked up to yield about 1.9 g of 30% pure LL-E33288  $\gamma_1$ -I.

Fractions (303-340) containing LL-E33288  $\delta_1$ -I from the two columns were pooled and worked up to yield about 1.3 g of partially purified LL-E33288  $\delta_1$ -I.

15

#### Example 9

#### Purification of LL-E33288 $\gamma_1$ -I

20 Approximately 900 mg of the 30% pure LL-E33288  $\gamma_1$ -I from Example 8 was chromatographed on a 2.5 x 120 cm sephadex LH-20 column equilibrated with ethyl acetate:dichloromethane:ethanol (2:2:1) at a flow rate of 1 ml/minute, collecting 12 ml fractions. The fractions were assayed and analysed by TLC as before and those containing LL-E33288  $\gamma_1$ -I (fractions 24-33) were pooled and worked up to yield 428 mg of 64% pure LL-E33288  $\gamma_1$ -I.

25 A 22 mg sample of the above was chromatographed on a 0.8 x 24 cm Sepralyte C<sub>18</sub> (35-60  $\mu$ m, Analytichem) column equilibrated with acetonitrile:0.2 M aqueous ammonium acetate (55:45) at a flow rate of 2 ml/minute, collecting 12 ml fractions. The fractions were assayed and analysed by TLC as before and those containing pure LL-E33288  $\gamma_1$ -I were pooled and worked up to yield 7.7 mg of pure LL-E33288  $\gamma_1$ -I.

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Example 10

Purification of LL-E33288 $\beta$ <sub>1</sub>-I and  $\beta$ <sub>2</sub>-I

5 Approximately 600 mg of the crude mixture of  
LL-E33288  $\beta$ <sub>2</sub>-I and  $\beta$ <sub>1</sub>-I from Example 8 was chromatographed  
on a 2.5 x 120 cm Sephadex LH-20 column equilibrated  
with ethyl acetate:dichloromethane:ethanol (2:2:1) at  
a flow rate of 1 ml/minute, collecting 12 ml fractions.  
The fractions were assayed and analysed by TLC as before  
10 and those containing LL-E33288  $\beta$ <sub>2</sub>-I and LL-E33288  $\beta$ <sub>1</sub>-I  
(fractions 23-31) were pooled and worked up to yield  
81 mg of a partially purified mixture of LL-E33288  $\beta$ <sub>2</sub>-I  
and  $\beta$ <sub>1</sub>-I.

15 The sample above was chromatographed on a 1.5  
x 90 cm Sephadex LH-20 column equilibrated with hexane:  
dichloromethane:ethanol (3:1:1) at a flow rate of 0.8  
ml/minute, collecting 12 ml fractions. The fractions  
were assayed and analysed by TLC as before and those  
20 containing LL-E33288  $\beta$ <sub>2</sub>-I (fractions 17-30) and LL-E33288  
 $\beta$ <sub>1</sub>-I (fractions 31-38) were pooled separately and worked  
up to yield 31 mg of partially purified LL-E33288  $\beta$ <sub>2</sub>-I  
and 20 mg of 80% pure LL-E33288  $\beta$ <sub>1</sub>-I.

25



# CLAIMS

-47-

~~WHAT IS CLAIMED IS:~~

1. A compound LL-E33288 $\alpha_1$ -Br, having the following  $R_f$  values in the indicated solvent systems on TLC on silica gel sheets:

- a) ethyl acetate saturated with 0.1M aqueous potassium dihydrogen phosphate,  $R_f=0.67$ ;
- b) 3% isopropanol in ethyl acetate saturated with 0.1M aqueous potassium dihydrogen phosphate,  $R_f=0.80$ ; and
- c) ethyl acetate:methanol (95:5),  $R_f=0.79$ .

2. A compound LL-E33288 $\alpha_2$ -Br, having the following  $R_f$  values in the indicated solvent systems on TLC on silica gel sheets:

- a) ethyl acetate saturated with 0.1M aqueous potassium dihydrogen phosphate,  $R_f=0.61$ ;
- b) 3% isopropanol in ethyl acetate saturated with 0.1M aqueous potassium dihydrogen phosphate,  $R_f=0.75$ ; and
- c) ethyl acetate:methanol (95:5),  $R_f=0.73$ .

3. A compound LL-E33288 $\alpha_3$ -Br having the following  $R_f$  values in the indicated solvent systems on TLC on silica gel sheets:

- a) ethyl acetate saturated with 0.1M aqueous potassium dihydrogen phosphate,  $R_f=0.55$ ;
- b) 3% isopropanol in ethyl acetate saturated with 0.1M aqueous potassium dihydrogen phosphate,  $R_f=0.69$ ; and
- c) ethyl acetate:methanol (95:5),  $R_f=0.61$ .

4. A compound LL-E33288 $\alpha_4$ -Br, having the following  $R_f$  values in the indicated solvent systems on TLC on silica gel sheets:

- a) ethyl acetate saturated with 0.1M aqueous potassium dihydrogen phosphate,  $R_f=0.49$ ;
- b) 3% isopropanol in ethyl acetate saturated with 0.1M aqueous potassium dihydrogen phosphate,  $R_f=0.64$ ; and
- c) ethyl acetate:methanol (95:5),  $R_f=0.54$ .

5. A compound LL-E33288 $\beta_1$ -Br,

- a) having an approximate elemental analysis:  
C 48.6; H 5.6; N 2.9; S 9.1 and Br 5.5;
- b) having a melting point: 146-150°C (dec.);
- c) having a specific rotation:  $[\alpha]_D^{26} = -49 \pm 10^\circ$   
(0.1%, ethanol);

- d) having ultraviolet absorption spectra as shown in Figure I of the drawings;
- e) having an infrared absorption spectrum as shown in Figure II of the drawings;
- f) having a proton magnetic resonance spectrum as shown in Figure III of the drawings;
- g) having a carbon-13 magnetic resonance spectrum as shown in Figure IV of the drawings with significant peaks at:

17.60(q);	17.64(q);	18.9(q);	19.7(q);
22.4(q);	22.8(q);	23.5(q);	34.3(t);
36.9(t);	39.2(t/d);	47.8(d);	51.7(q);
52.7(q);	54.6(d)	56.3(q);	57.2(q);
57.8(d);	61.0 (q/d);	61.7(d);	62.4(t);
66.9(d);	68.4(d);	69.1(d);	69.7(d);
70.2(d);	71.1(d);	71.9(d);	72.1(s);
76.1(d);	81.0(d);	83.3(s);	88.2(s);
97.4(d);	99.7(d);	100.8(s);	102.5(d);
115.1(s);	123.4(d);	124.4(d);	126.5(d);
130.2(s);	130.8(s);	144.6(s);	149.3(s);
149.5(s);	191.7(s);	192.4(s);	and

- h) having the following  $R_f$  values in the indicated solvent systems on TLC on silica gel sheets:

- i) ethyl acetate saturated with 0.1M aqueous potassium dihydrogen phosphate,  $R_f=0.24$ ;
- ii) 3% isopropanol in ethyl acetate saturated with 0.1M aqueous potassium dihydrogen phosphate,  $R_f=0.35$ ;
- iii) ethyl acetate:methanol (95:5),  $R_f=0.36$ .

i) having a molecular weight: 1333/1335, respectively for  $^{79}\text{Br}/^{81}\text{Br}$ ; and

j) having a molecular formula:  $\text{C}_{54}\text{H}_{84}\text{N}_3\text{O}_{22}\text{S}_4\text{Br}$ .

6. A compound LL-E33288 $\beta$ -Br, having the following  $R_f$  values in the indicated solvent systems on TLC on silica gel sheets:

a) ethyl acetate saturated with 0.1M aqueous potassium dihydrogen phosphate,  $R_f=0.32$ ;

b) 3% isopropanol in ethyl acetate saturated with 0.1M aqueous potassium dihydrogen phosphate,  $R_f=0.41$ ; and

c) ethyl acetate:methanol (95:5),  $R_f=0.45$ .

7. A compound LL-E33288 $\gamma_1$ -Br

a) having ultraviolet absorption spectra as shown in Figure V of the drawings;

b) having an infrared absorption spectrum as shown in Figure VI of the drawings;

c) having a proton magnetic resonance spectrum as shown in Figure VII of the drawings; and

d) having the following  $R_f$  values in the indicated solvent systems on TLC on silica gel sheets:

i) ethyl acetate saturated with 0.1M aqueous potassium dihydrogen phosphate,  $R_f=0.18$ ;

ii) 3% isopropanol in ethyl acetate saturated with 0.1M aqueous potassium dihydrogen phosphate,  $R_f=0.28$ ;

iii) ethyl acetate:methanol (95:5),  $R_f=0.27$ .

e) having a carbon-13 magnetic resonance spectrum as shown in Figure VIII of the drawings with significant peaks at:

14.4	17.6	17.9	19.0
19.7	-	22.8	-
-	34.0	37.6	39.5
42.1	-	51.6	52.7
54.1	56.3	57.3	-
59.3	61.1	61.8	61.9
67.2	68.18	68.23	69.7
70.1	70.8	71.1	71.7
71.8	76.1	-	81.0
82.9	88.4	-	97.8
100.0	100.2	101.3	103.0
115.3	123.0	124.9	126.9
130.4	131.1	131.8	138.0
144.7	-	149.5	149.6
155.6	192.5	192.9	

f) having a molecular formula:  $C_{53}H_{82}N_3O_{22}S_4Br$ ; and

g) having a molecular weight: 1319/1321, respectively for  $^{79}Br/^{81}Br$ .

#### 8. A compound LL-E33288 $\alpha_1$ -I

a) having the following  $R_f$  values in the indicated solvent systems on TLC on silica gel sheets:

i) ethyl acetate saturated with 0.1M aqueous potassium dihydrogen phosphate,  $R_f=0.67$ ;

- ii) 3% isopropanol in ethyl acetate saturated with 0.1M aqueous potassium dihydrogen phosphate,  $R_f=0.80$ ; and
  - iii) ethyl acetate:methanol (95:5),  $R_f=0.80$ ; and
- b) having a molecular weight:1145.

9. A compound LL-E33288 $\alpha_2$ -I

a) having the following  $R_f$  values in the indicated solvent systems on TLC on silica gel sheets:

- i) ethyl acetate saturated with 0.1M aqueous potassium dihydrogen phosphate,  $R_f=0.61$ ;
- ii) 3% isopropanol in ethyl acetate saturated with 0.1M aqueous potassium dihydrogen phosphate,  $R_f=0.75$ ; and
- iii) ethyl acetate:methanol (95:5),  $R_f=0.73$ ;

b) containing only the following elements: C, H, N, O, S and I;

c) having a molecular weight:1131; and

d) having a proton magnetic resonance spectrum as shown in Figure IX of the drawings.

10. A compound LL-E33288 $\alpha_3$ -I

a) having the following  $R_f$  values in the indicated solvent systems on TLC on silica gel sheets:

- i) ethyl acetate saturated with 0.1M aqueous potassium dihydrogen phosphate,  $R_f=0.55$ ;

- ii) 3% isopropanol in ethyl acetate saturated with 0.1M aqueous potassium dihydrogen phosphate,  $R_f=0.69$ ; and
  - iii) ethyl acetate:methanol (95:5),  $R_f=0.61$ ;
- b) having a molecular weight:1066; and
- c) having a proton magnetic resonance spectrum as shown in Figure X of the drawings.

11. A compound LL-E332888<sub>1</sub>-I

- a) having the following  $R_f$  values in the indicated solvent systems on TLC on silica gel sheets:
- i) ethyl acetate saturated with 0.1M aqueous potassium dihydrogen phosphate,  $R_f=0.24$ ;
  - ii) 3% isopropanol in ethyl acetate saturated with 0.1M aqueous potassium dihydrogen phosphate,  $R_f=0.35$ ; and
  - iii) ethyl acetate:methanol (95:5),  $R_f=0.36$ ;
- b) having an ultraviolet absorption spectra as shown in Figure XI of the drawings;
- c) having an infrared absorption spectrum as shown in Figure XII of the drawings;
- d) having a proton magnetic resonance spectrum as shown in Figure XIII of the drawings;
- e) having a carbon 13 magnetic resonance spectrum as shown in Figure XIV of the drawings with significant peaks at

-	17.5	17.6	18.9
-	22.4	22.8	23.4
25.4	34.3	36.9	39.2
-	47.9	51.6	52.8
54.8	56.3	57.2	57.9
60.9		61.6	62.2
67.0	68.4	68.4	69.1
69.6	70.4	71.1	71.8
72.2	76.2	-	80.8
83.3	88.1	93.6	97.4
99.6	99.6	-	102.6
112.4	123.4	124.4	126.4
-	-	133.4	-
-	-	-	-
-	192.3	192.6	-

f) having a molecular formula:  $C_{54}H_{84}N_3O_{22}S_4I$ ; and

g) having a molecular weight:1381.

12. A compound LL-E33288<sup>B</sup><sub>2</sub>-I having the following  $R_f$  values in the indicated solvent systems on TLC on silica gel sheets:

- a) ethyl acetate saturated with 0.1M aqueous potassium dihydrogen phosphate,  $R_f=0.32$ .
- b) 3% isopropanol in ethyl acetate saturated with 0.1M aqueous potassium dihydrogen phosphate,  $R_f=0.41$ ; and
- c) ethyl acetate:methanol (95:5),  $R_f=0.45$ .



13. A compound LL-E33288 $\gamma$ <sub>1</sub>-I

a) having the following  $R_f$  values in the indicated solvent systems on TLC on silica gel sheets:

- i) ethyl acetate saturated with 0.1M aqueous potassium dihydrogen phosphate,  $R_f = 0.18$ ;
- ii) 3% isopropanol in ethyl acetate saturated with 0.1M aqueous potassium dihydrogen phosphate,  $R_f = 0.28$ ; and
- iii) ethyl acetate: methanol (95:5),  $R_f = 0.27$ ;

b) containing only the following elements: C, H, N, O, S and I;

c) having an approximate elemental analysis: C 48.8; H 5.4; N 2.8; S 9.0; and I 9.2;

d) having a molecular weight: 1367;

e) having a molecular formula: C<sub>53</sub> H<sub>82</sub> N<sub>3</sub> O<sub>22</sub> S<sub>4</sub> I;

f) having an ultraviolet absorption spectra as shown in Figure XV of the drawings;

g) having an infrared absorption spectrum as shown in Figure XVI of the drawings;

h) having a proton magnetic resonance spectrum as shown in Figure XVII of the drawings; and

i) having a carbon 13 magnetic resonance spectrum as shown in Figure XVIII of the drawings, significant peaks as listed below:

14.5(q)	17.6(q)	17.6(q)	18.9(q)
-	-	22.8(q)	-
25.4(q)	34.1(t)	37.0(t)	39.1(t)
42.3(t/s)	-	51.5(d)	52.8(q)
54.8(t)	56.3(q)	57.2(q)	-
60.4(d)	60.9(q)	61.3(t)	61.7(q)
67.0(d)	68.4(d)	68.5(d)	69.2(d)
69.7(d)	70.5(d)	71.1(d)	71.8(d)
72.1(s)	75.7(d)	75.8(d)	80.9(d)
82.8(s)	88.1(s)	93.5(s)	97.3(d)
99.6(d)	99.7(d)	100.8(s)	102.6(d)
-	123.4(d)	124.4(d)	126.2(d)
130.2(s)	131.0(s)	133.4(s)	139.1(s)
143.0(s)	145.1	150.6(s)	151.5(s)
154.5	192.0(s)	192.5(s)	

14.) A compound LL-33288 $\delta_1$ -I having the following  $R_f$  values in the indicated solvent systems on TLC on silica gel sheets:

a) ethyl acetate saturated with 0.1M aqueous potassium dihydrogen phosphate,  $R_f = 0.11$ ; and

b) 3% isopropanol in ethyl acetate saturated with 0.1M aqueous potassium dihydrogen phosphate,  $R_f = 0.19$ .

15. A process for producing antibiotics LL-E33288 $\alpha_1$ -Br; LL-E33288 $\alpha_2$ -Br; LL-E33288 $\alpha_3$ -Br; LL-E33288 $\alpha_4$ -Br; LL-E33288 $\beta_1$ -Br; LL-E33288 $\beta_2$ -Br and LL-E33288 $\gamma_1$ -Br which comprises aerobically fermenting the organism Micromonospora echinospora ssp. calichensis NRRL 15839 or mutants thereof including NRRL 15975 in a liquid medium containing assimilable sources of carbon, nitrogen,

bromine and inorganic salts, until substantial antibiotic activity is imparted to said medium and then recovering the antibiotics therefrom.

16. A process for producing antibiotics LL-E33288 $\alpha_1$ -Br; LL-E33288 $\alpha_2$ -Br; LL-E33288 $\alpha_3$ -Br; LL-E33288 $\alpha_4$ -Br; LL-E33288 $\beta_1$ -Br; LL-E33288 $\beta_2$ -Br; and LL-E33288 $\gamma_1$ -Br which comprises aerobically fermenting a liquid medium containing assimilable sources of carbon, nitrogen, bromine and inorganic salts; which medium has been inoculated with a viable culture of the organism Micromonospora echinospora ssp. calichensis NRRL 15839 or mutants thereof including NRRL 15975, maintaining said fermentation culture at a temperature of about 24-32°C for a period of approximately 90-200 hours, harvesting the mash and extracting the antibiotics.

17. A process for producing antibiotics LL-E33288 $\alpha_1$ -I; LL-E33288 $\alpha_2$ -I; LL-E33288 $\alpha_3$ -I; LL-E33288 $\beta_1$ -I; LL-E33288 $\beta_2$ -I; LL-E33288 $\gamma_1$ -I; and LL-E33288 $\delta_1$ -I which comprises aerobically fermenting the organism Micromonospora echinospora ssp. calichensis NRRL 15839 or mutants thereof including NRRL 15975 in a liquid medium containing assimilable sources of carbon, nitrogen, iodine and inorganic salts, until substantial antibiotic activity is imparted to said medium and then recovering the antibiotics therefrom.

18. A process for producing antibiotics LL-E33288 $\alpha_1$ -I; LL-E33288 $\alpha_2$ -I; LL-E33288 $\alpha_3$ -I; LL-E33288 $\beta_1$ -I; LL-E33288 $\beta_2$ -I; LL-E33288 $\gamma_1$ -I; and LL-E33288 $\delta_1$ -I which comprises aerobically fermenting a liquid medium containing assimilable sources of carbon, nitrogen, iodine and inorganic salts, which medium has been inoculated with a viable culture of the microorganism Micromonospora echinospora ssp. calichensis NRRL 15839 or mutants thereof including NRRL 15975, maintaining said fermentation culture at a temperature of about 24-32°C for a period of approximately 90-200 hours, harvesting the mash and extracting the antibiotics.

19. A culture containing the microorganism

Micromonospora echinospora ssp. calichensis, NRRL 15839, said culture being capable of producing the LL-E33288 complex in recoverable quantity upon aerobic fermentation in an aqueous medium containing assimilable sources of carbon nitrogen, inorganic salts, and either iodine or bromine or both.

20. A culture containing the microorganism Micro-monospora echinospora ssp. calichensis NRRL 15975, said culture being capable of producing the LL-E33288 complex in recoverable quantity upon aerobic fermentation in an aqueous medium containing assimilable sources of carbon, nitrogen, inorganic salts, and either iodine or bromine or both.

21. A process for producing the antibiotics referred to in Claim 15, substantially as herein described and illustrated.

DATED THIS 15 DAY OF NOVEMBER 1985

  
ADAMS & ADAMS

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~~18~~ SHEET (11)  
SHEET No. 5  
ORIGINAL

5/18

ULTRAVIOLET ABSORPTION SPECTRA OF LL-E33288  $\gamma$   
20  $\mu\text{g/ml}$  SOLUTION

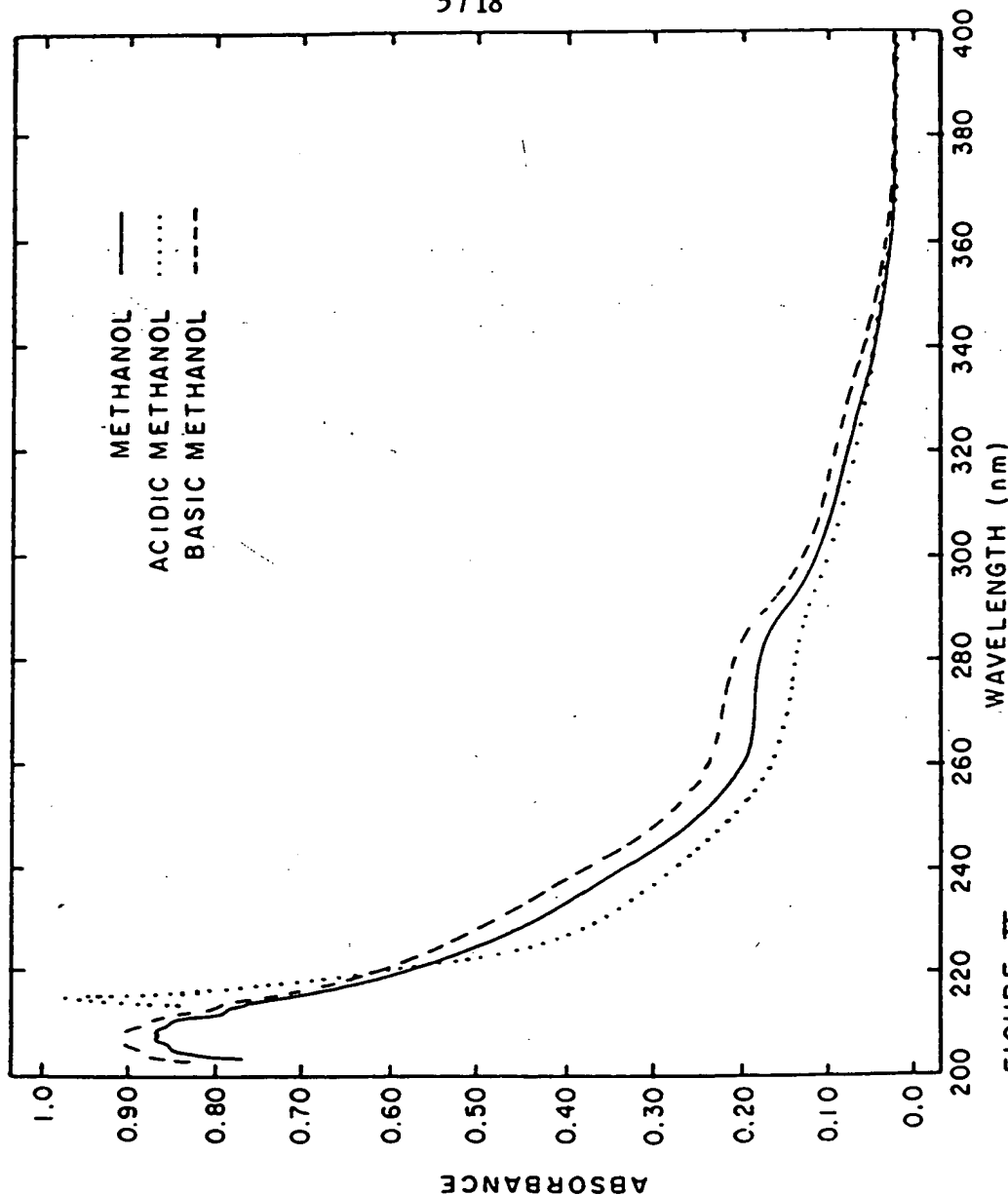


FIGURE V

*[Signature]*  
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~~18~~ SHEET (5)  
SHEET No. ~~18~~  
ORIGINAL

6/18

INFRARED ABSORPTION SPECTRUM OF LL-E33288  $\gamma$

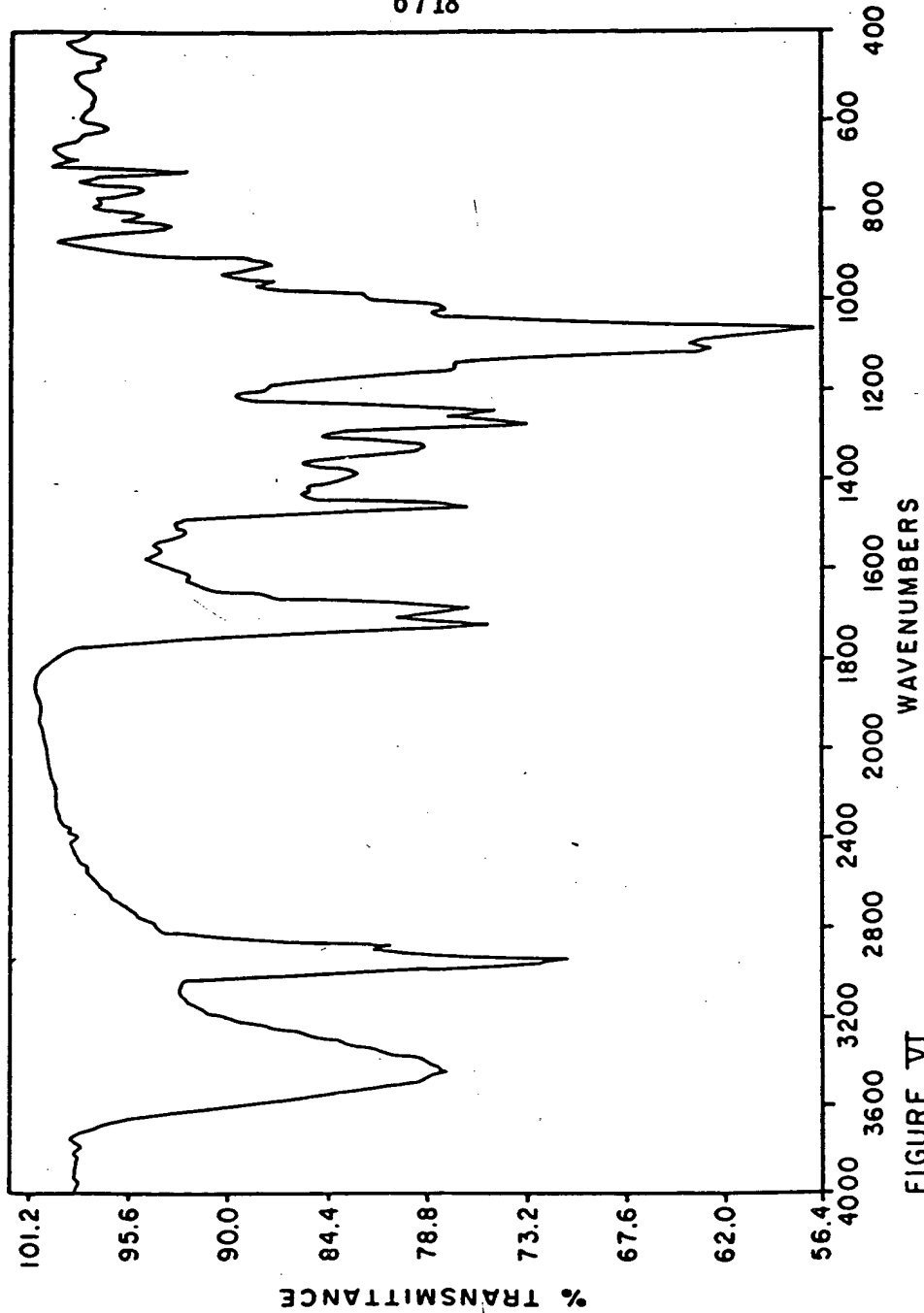


FIGURE VI

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18 SHEET (S)  
SHEET No. 1  
ORIGINAL

7/18

PROTON MAGNETIC RESONANCE SPECTRUM OF  
LL-E33288  $\gamma$

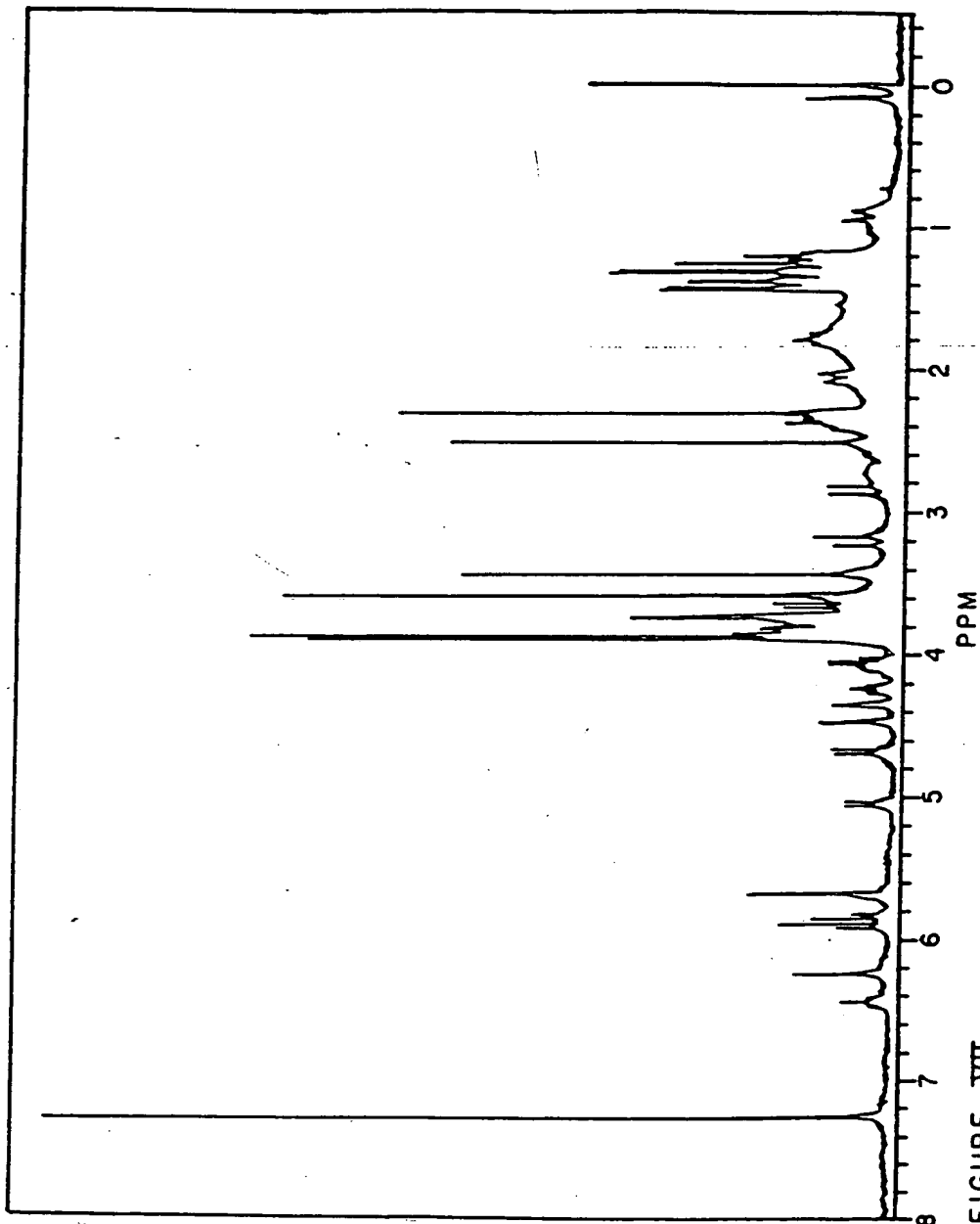


FIGURE VII

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18 SHEET (S)  
SHEET No. 8  
ORIGINAL

8/18

$^{13}\text{C}$ NMR OF LL-E33288  $\gamma$ , Br

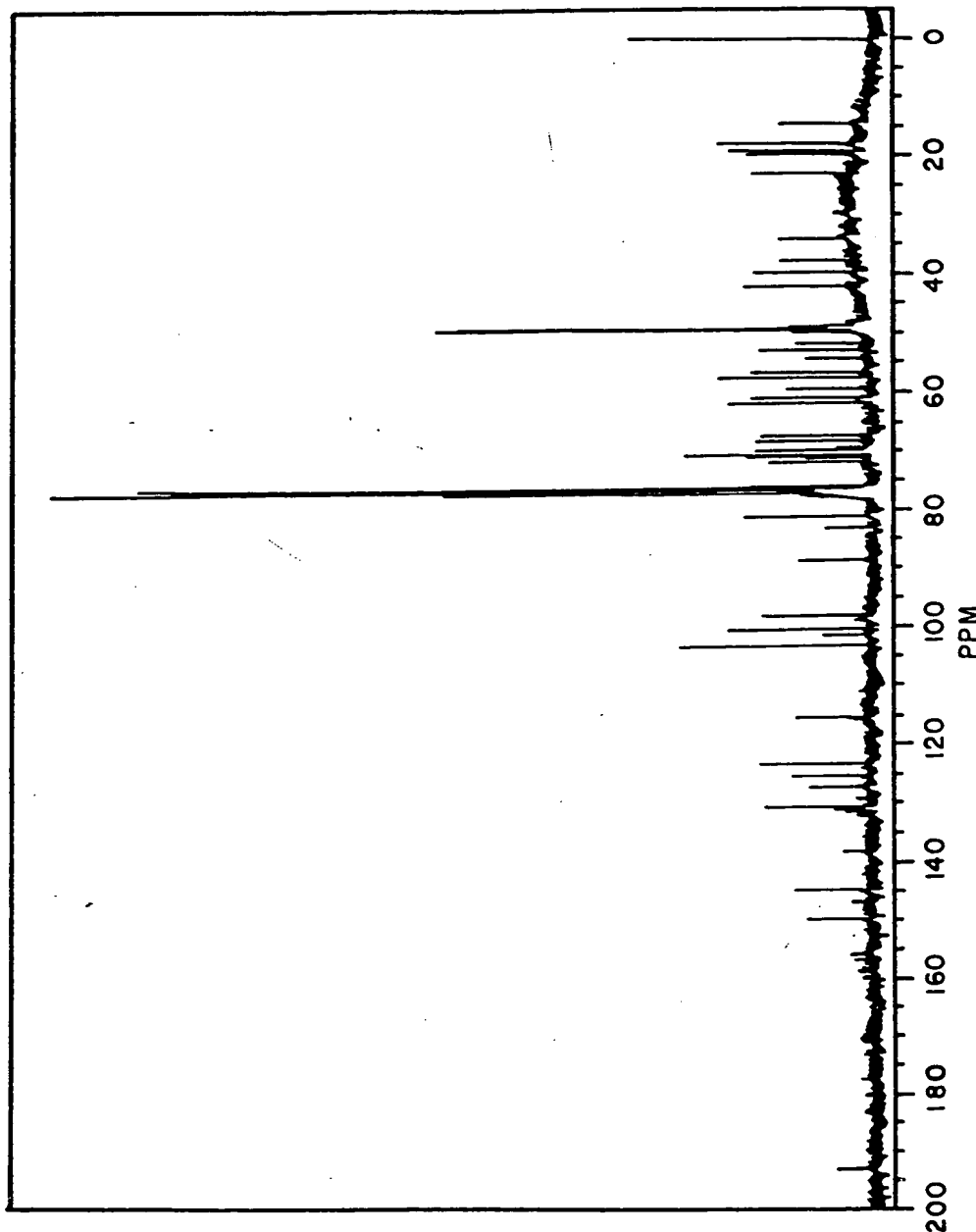


FIGURE VIII

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18 SHEET (S)  
SHEET No. 9  
ORIGINAL

9/18

PMR OF LL-E33288  $\alpha_2$  I

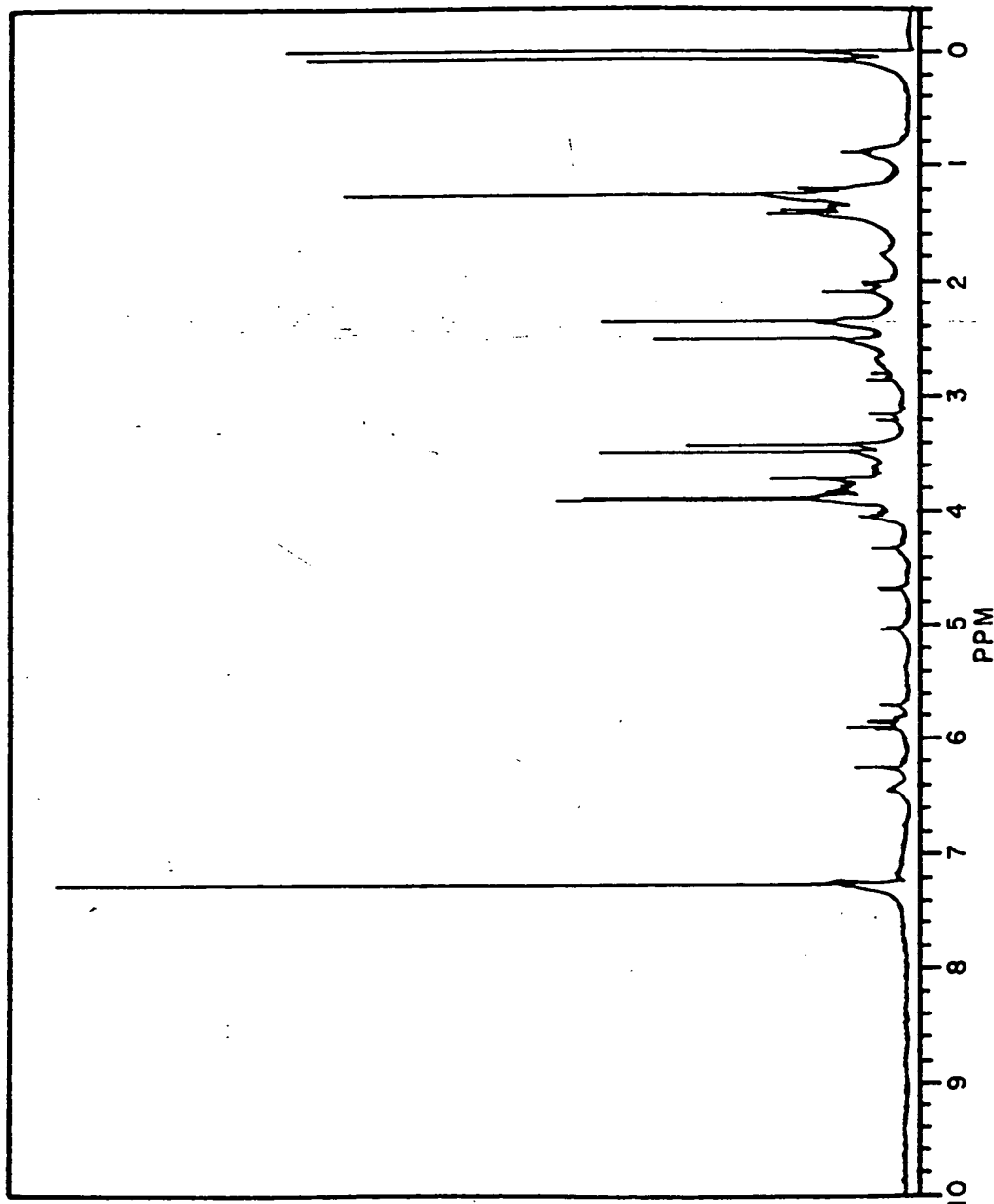


FIGURE IX

*[Signature]*  
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7 SHEET (S)  
SHEET No.  
ORIG

10/18

PMR OF LL-E33288 $\alpha_3$ I

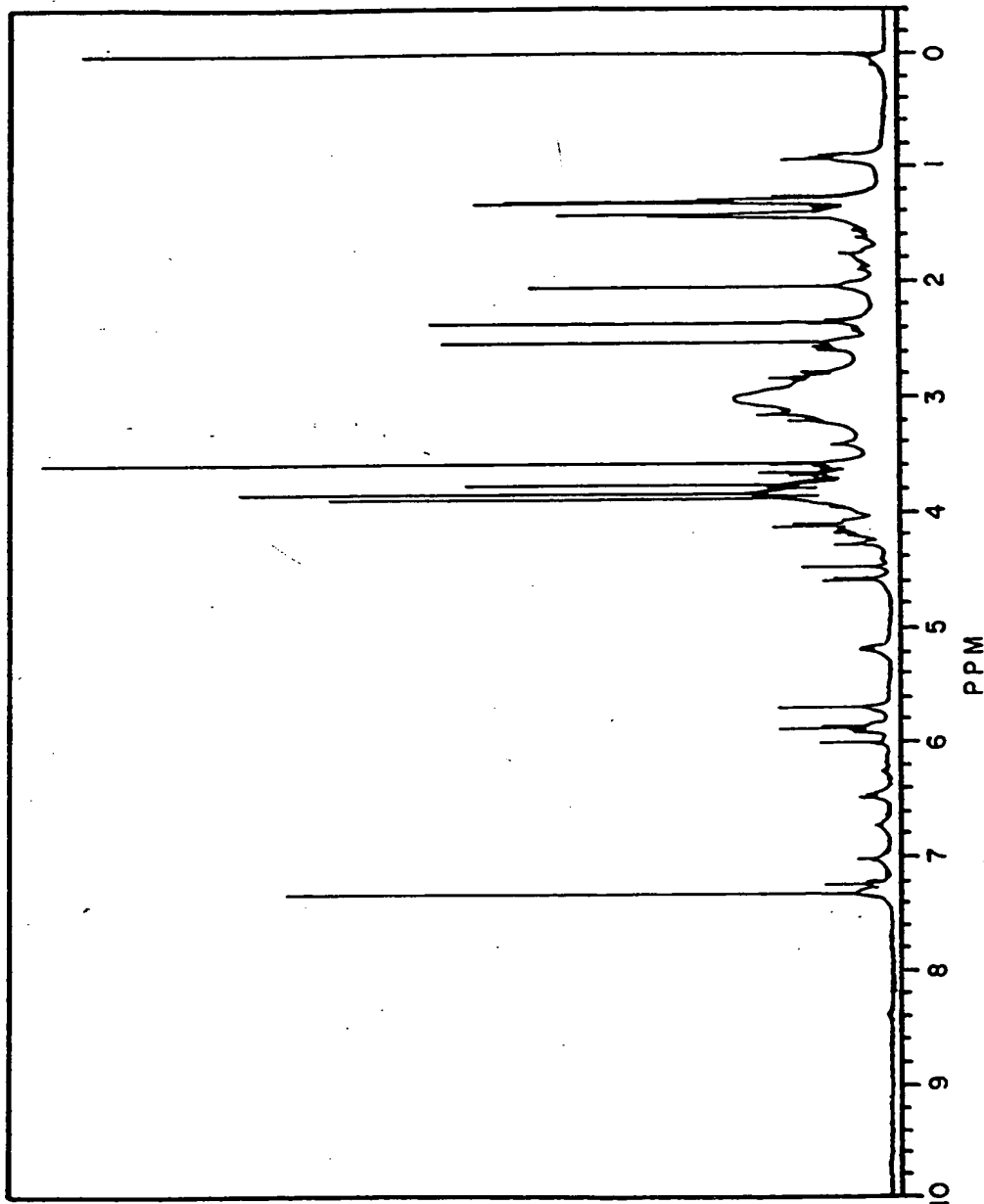


FIGURE X

*[Signature]*  
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~~18~~ SHEET (S)  
SHEET No. 11  
ORIGINAL

11/18

UV OF LL-E33288  $\beta$ , I

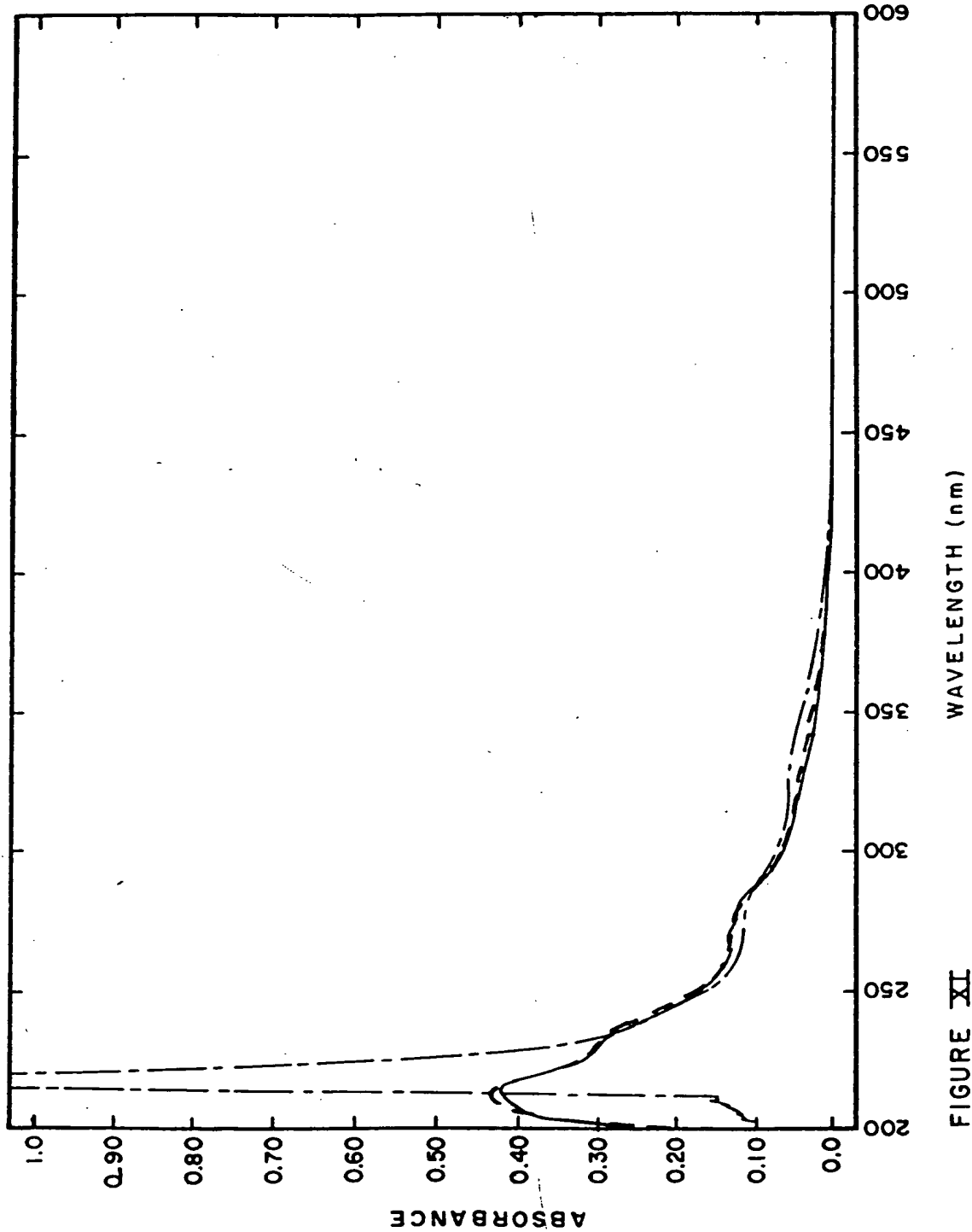
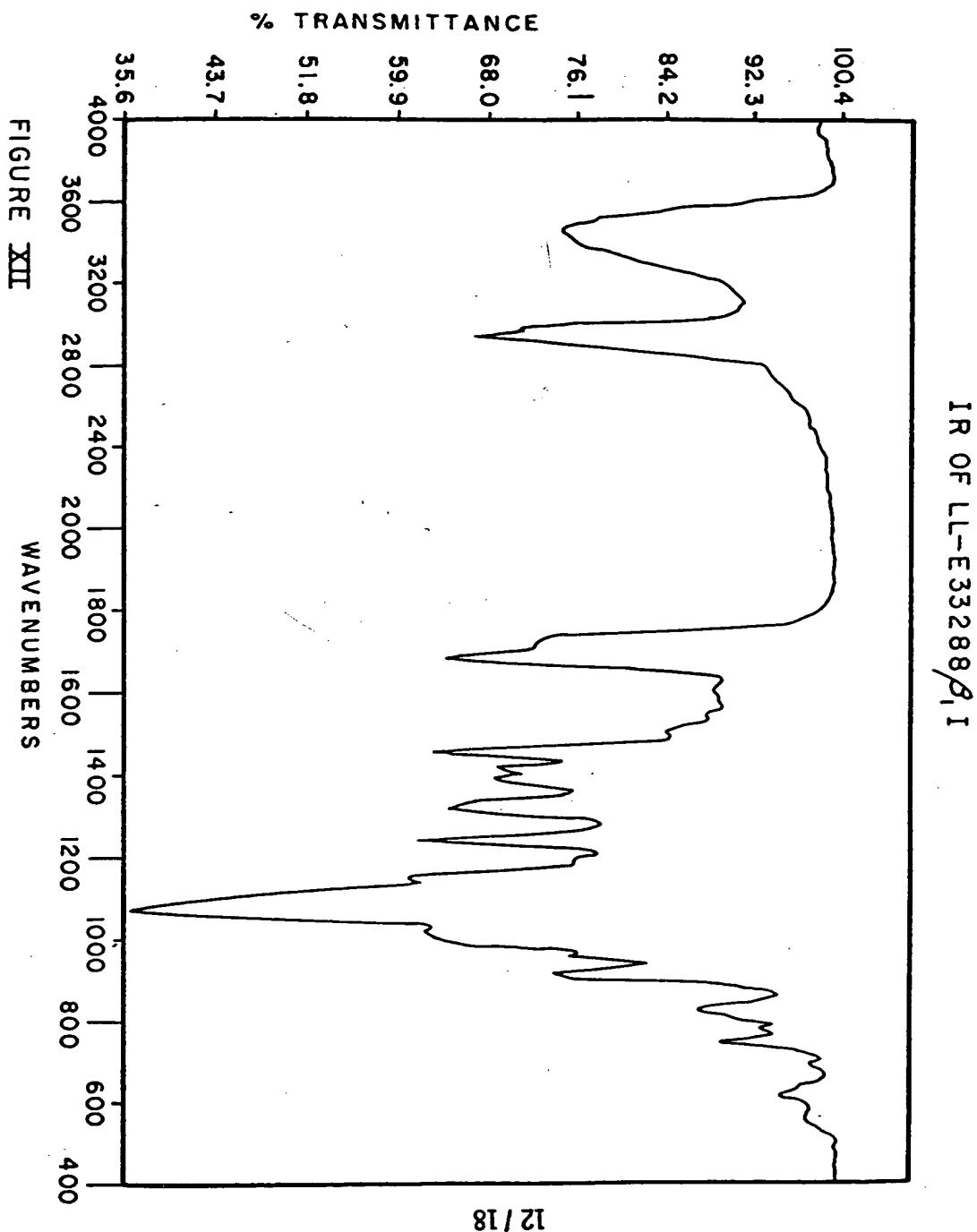


FIGURE XI

*[Signature]*  
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~~18~~ SHEET (S)  
SHEET No. 12  
ORIGINAL



*[Signature]*  
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~~18~~ SHEET (S)  
SHEET No. 43  
ORIGINAL

13/18

PMR OF LL-E33288 $\beta$ , I

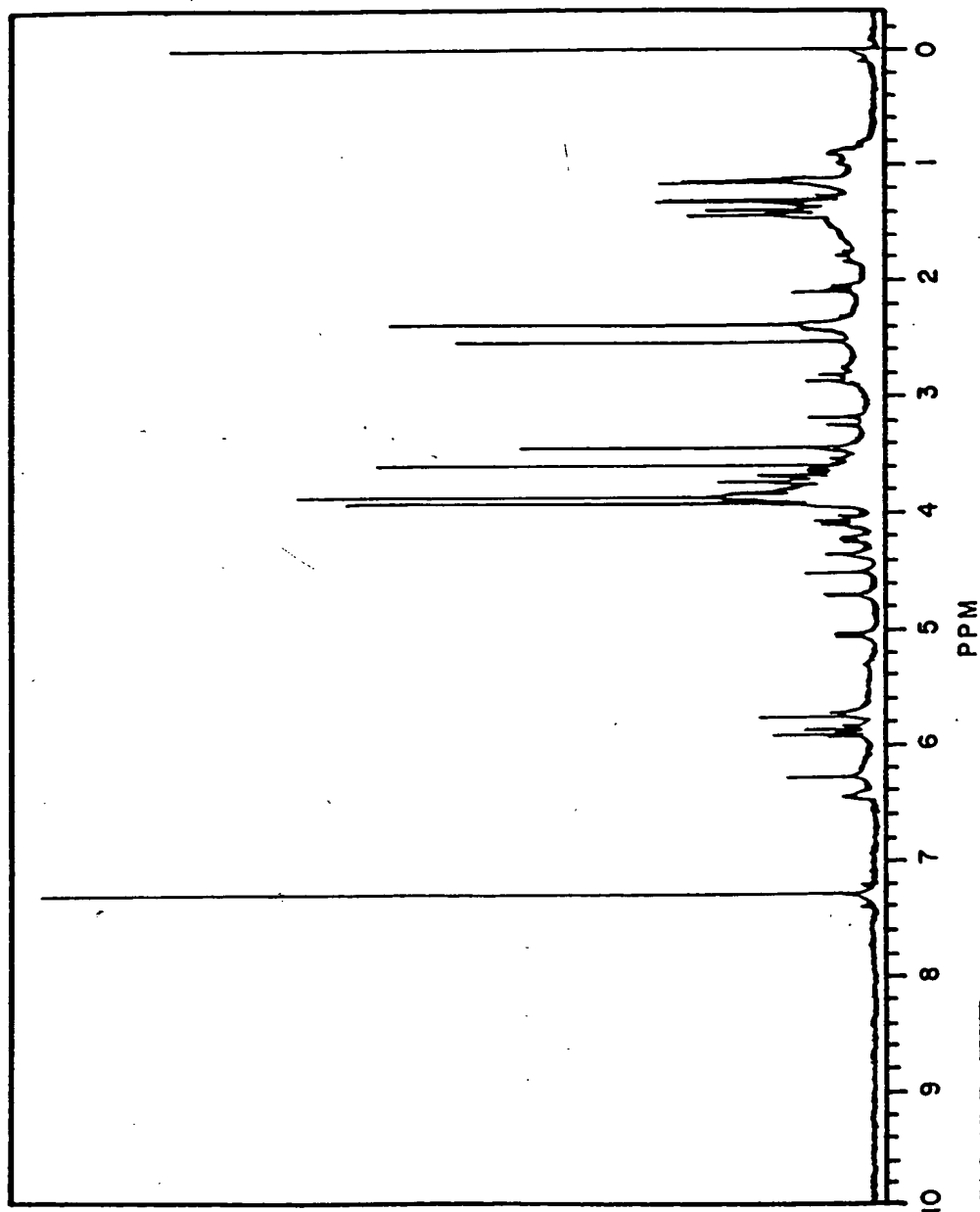


FIGURE XIII

*[Signature]*  
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18 SHEET (S)  
SHEET No. 14  
ORIG

14/18

$^{13}\text{C}$ NMR OF LL-E33288  $\beta_1$ I

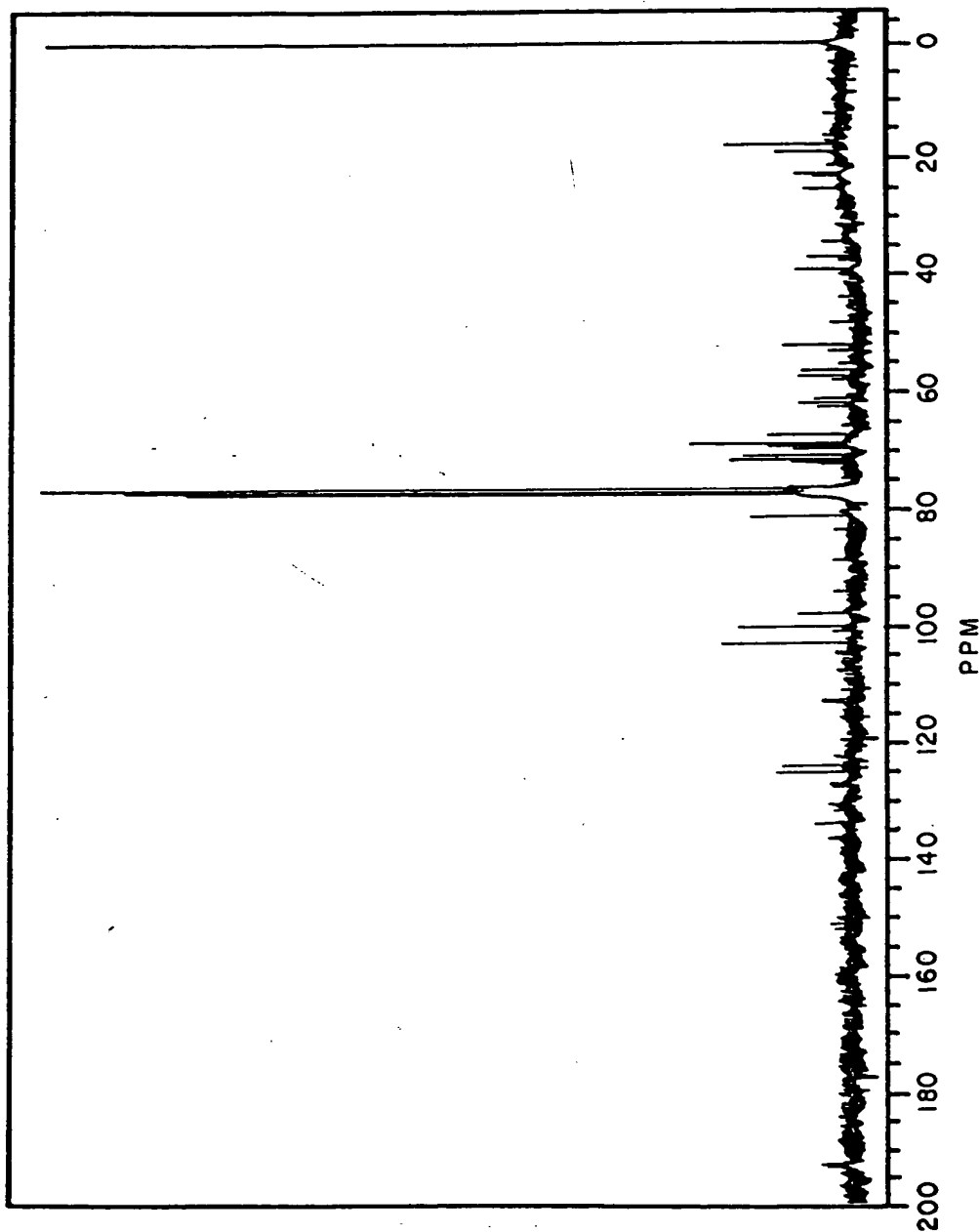


FIGURE XIV

*[Signature]*  
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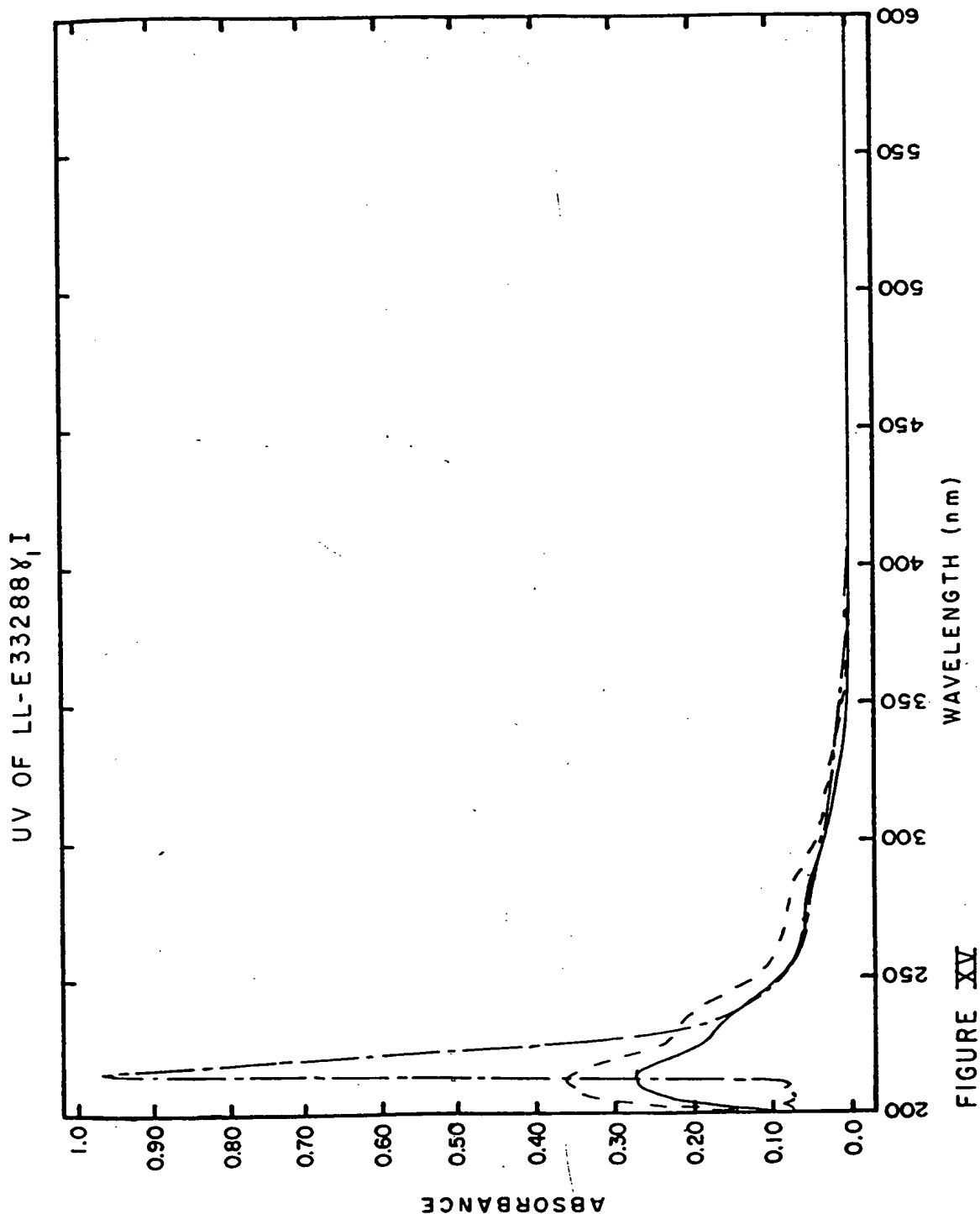


FIGURE XV

*[Signature]*  
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18 SHEET (8)  
SHEET No. 16  
ORIGINAL

16 / 18

IR OF LL-E33288X, I

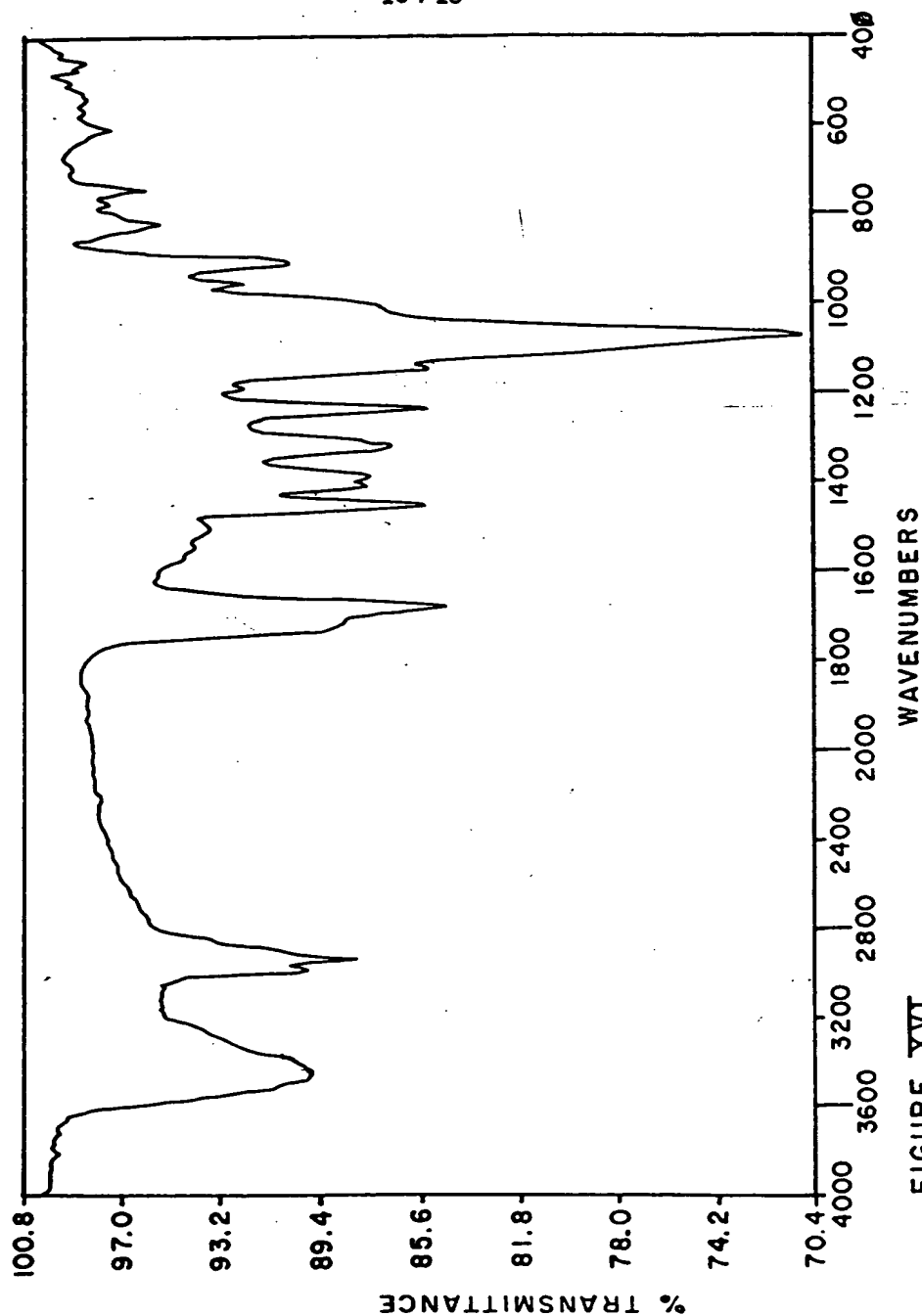


FIGURE XVI



~~18~~ SHEET (S)  
SHEET No 17  
ORIG

17/18

PMR OF LL-E33288X, I

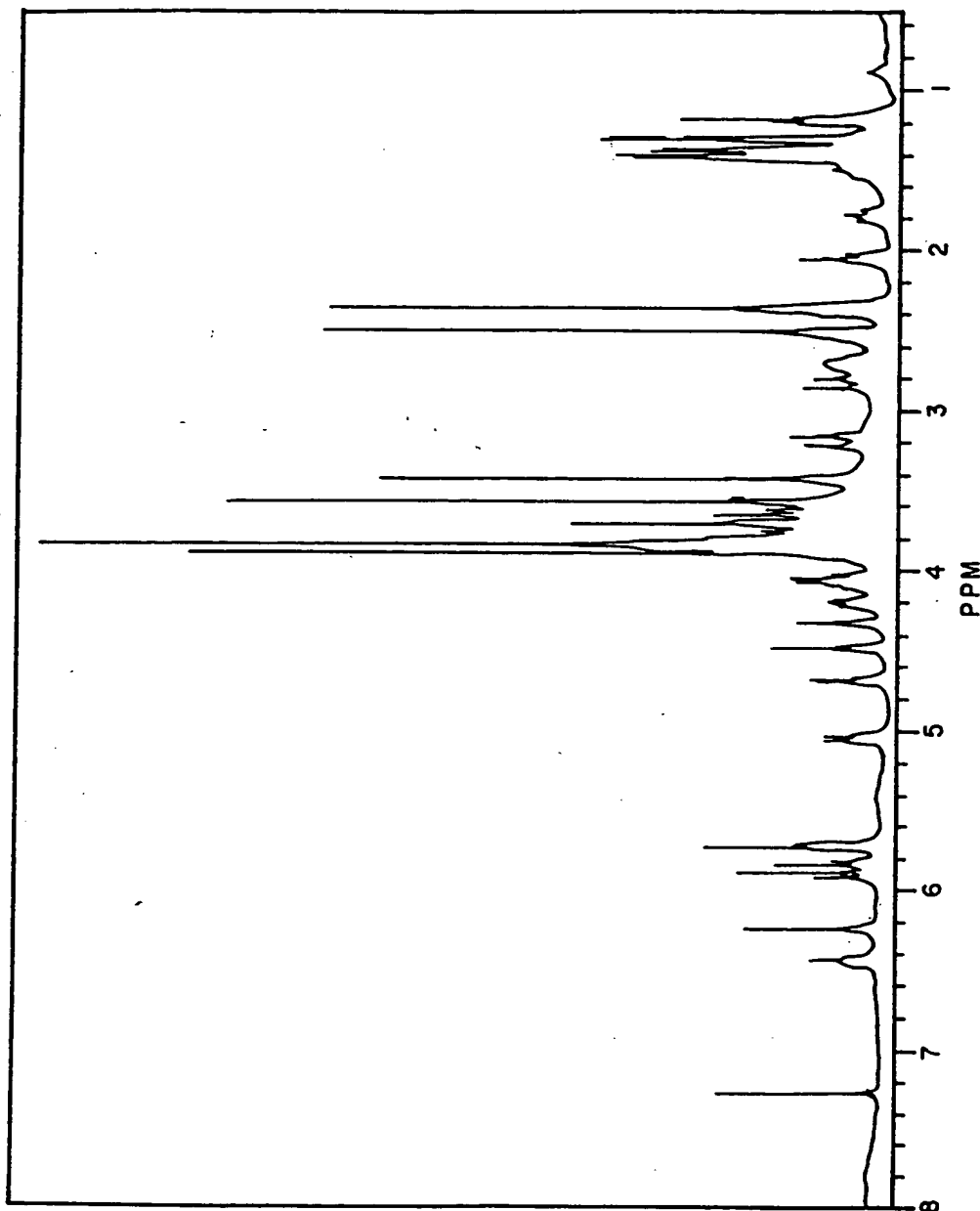


FIGURE XVII

*W. F. Schwarz*  
ATTORNEYS  
APPLICANTS PATENT ATTORNEYS

18/18

<sup>13</sup>CNMR OF LL-E33288X, I

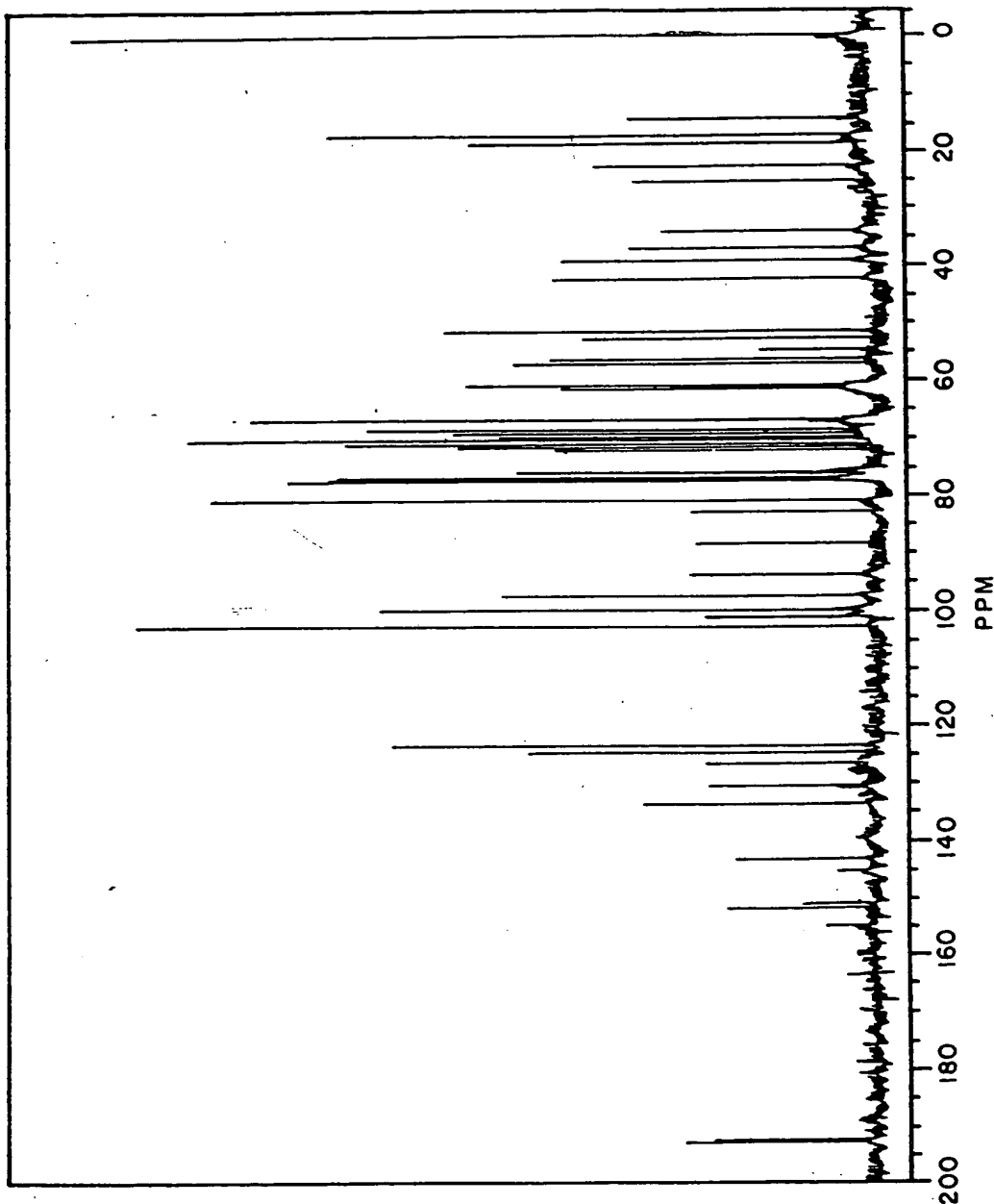


FIGURE XVIII

*[Signature]*  
APPLICANT'S PATENT ATTORNEY